

# **Single-Server Private Information Retrieval With Side Information Under Arbitrary Popularity Profiles**

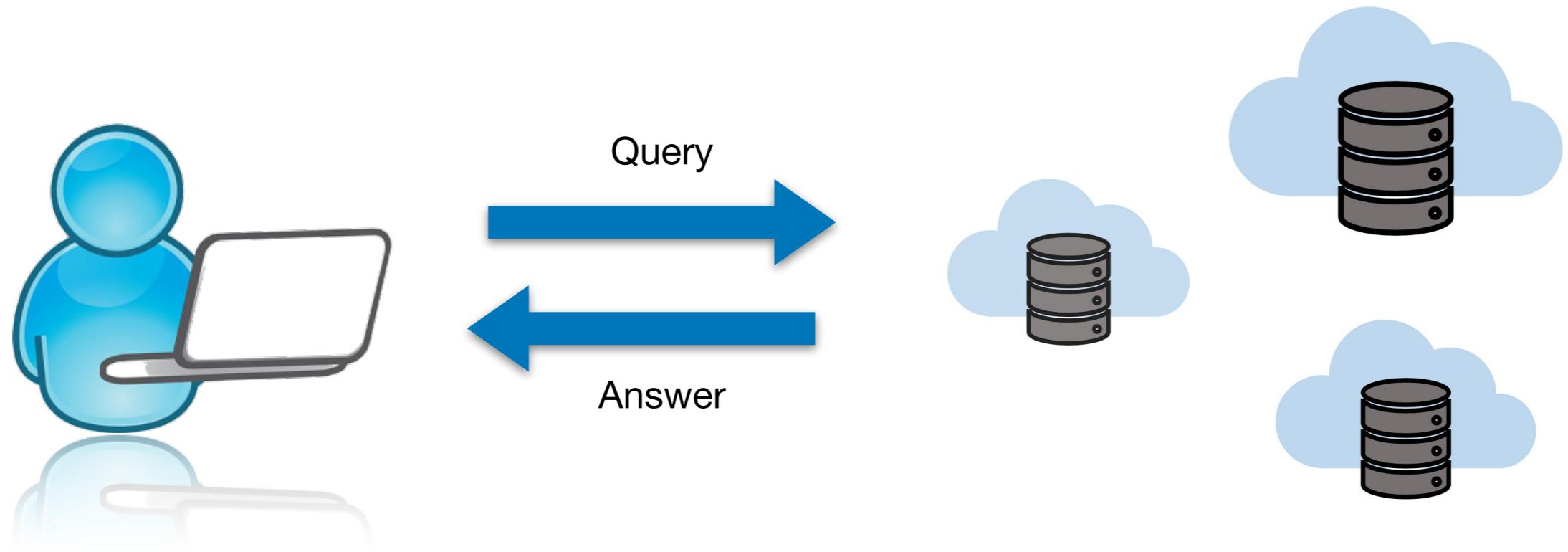
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Joint work with  
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(Santa Clara University)

This work was done while both authors were at Texas A&M University.

# Private Information Retrieval with Side Information (PIR-SI)

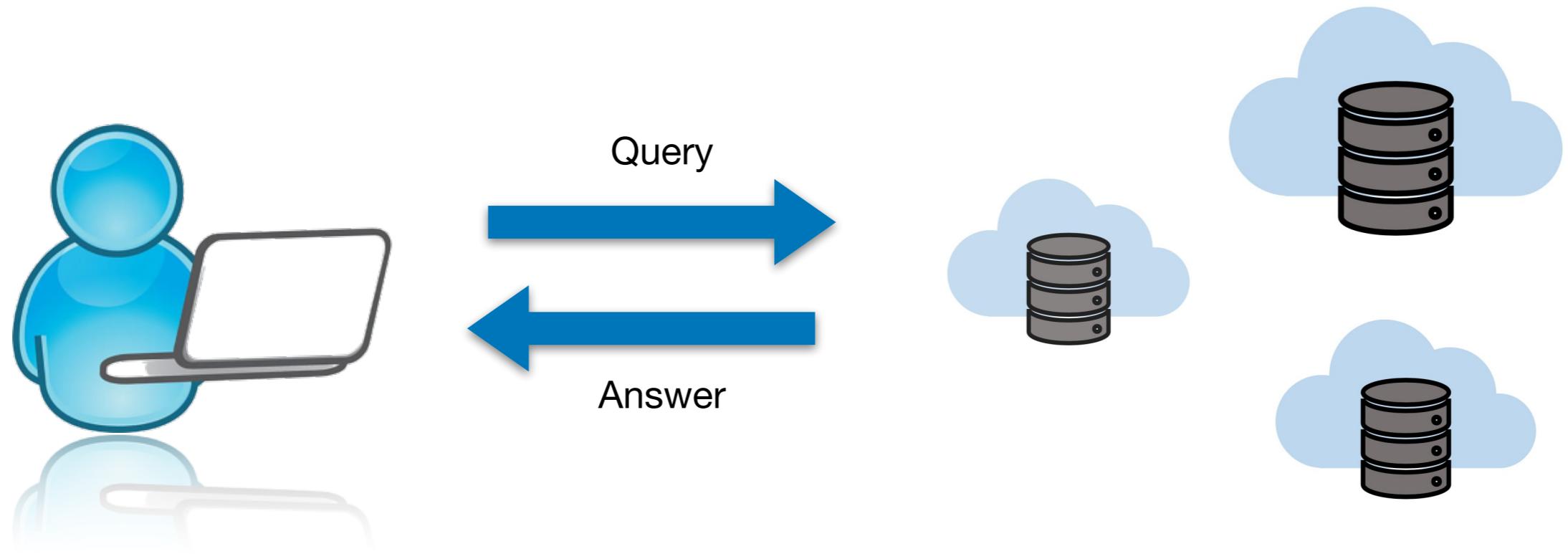
- A dataset is stored on one (or more) remote server(s).
- A user knows some subset of the dataset as side information, and desires a different subset of the dataset.



- Minimize download cost (i.e., total amount of information downloaded)
- Subject to leaking no information about the identities of the desired data

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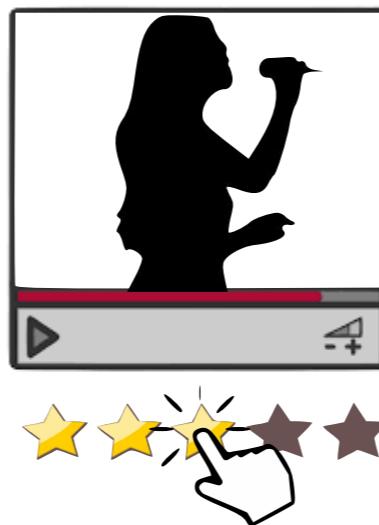


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Often, the PIR-SI formulations assume uniformly popular data.

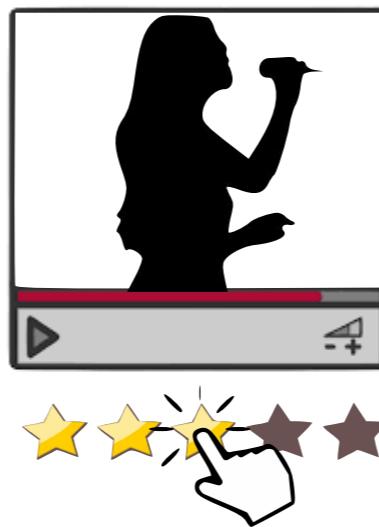
# Motivation

- From the servers' perspective, some data may be more popular than others.
  - E.g. any ranked dataset (video, image, forum messaging, etc.)
- Studies show the Zipf, Gamma, or Weibull distributions are more appropriate statistical models for online data access patterns\*.



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This work focuses on extending PIR-SI techniques to this more general setting.

## Related Work

	Data Popularity	# Servers	Side Info.
Sun-Jafar '17	No	Multiple	No
Banawan-Ulukus '17, '18	No	Multiple	No
Kadhe <i>et al.</i> '20	No	Multiple	Yes
Kadhe <i>et al.</i> '17	No	Single	Yes
Heidarzadeh <i>et al.</i> '18	No	Single	Yes
Li-Gastpar '18	No	Single	Yes
Heidarzadeh-Sprintson '22	No	Single	Yes
Vithana-Banawan-Ulukus '20	Yes	Multiple	No
<b>This work</b>	<b>Yes</b>	<b>Single</b>	<b>Yes</b>

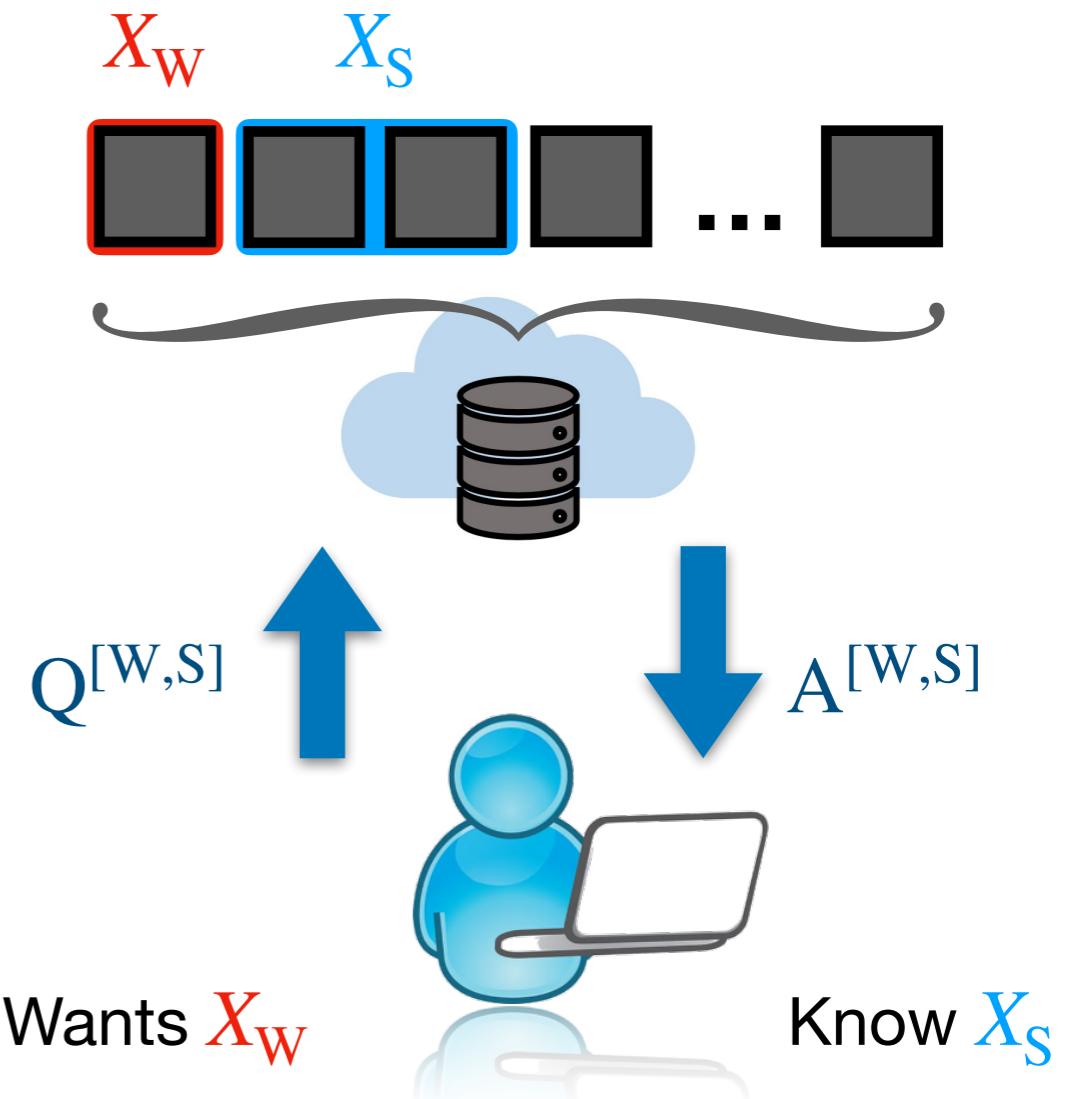
# Outline

- Model + Assumptions
- A Motivating Example
- Main Results
- Simulations
- Summary and Open Problems

## Popularity-Aware PIR-SI (PA-PIR-SI) Setting

- Server stores  $K$  messages  $X_1, \dots, X_K$  (independent and uniform over  $\mathbb{F}_q^n$ )

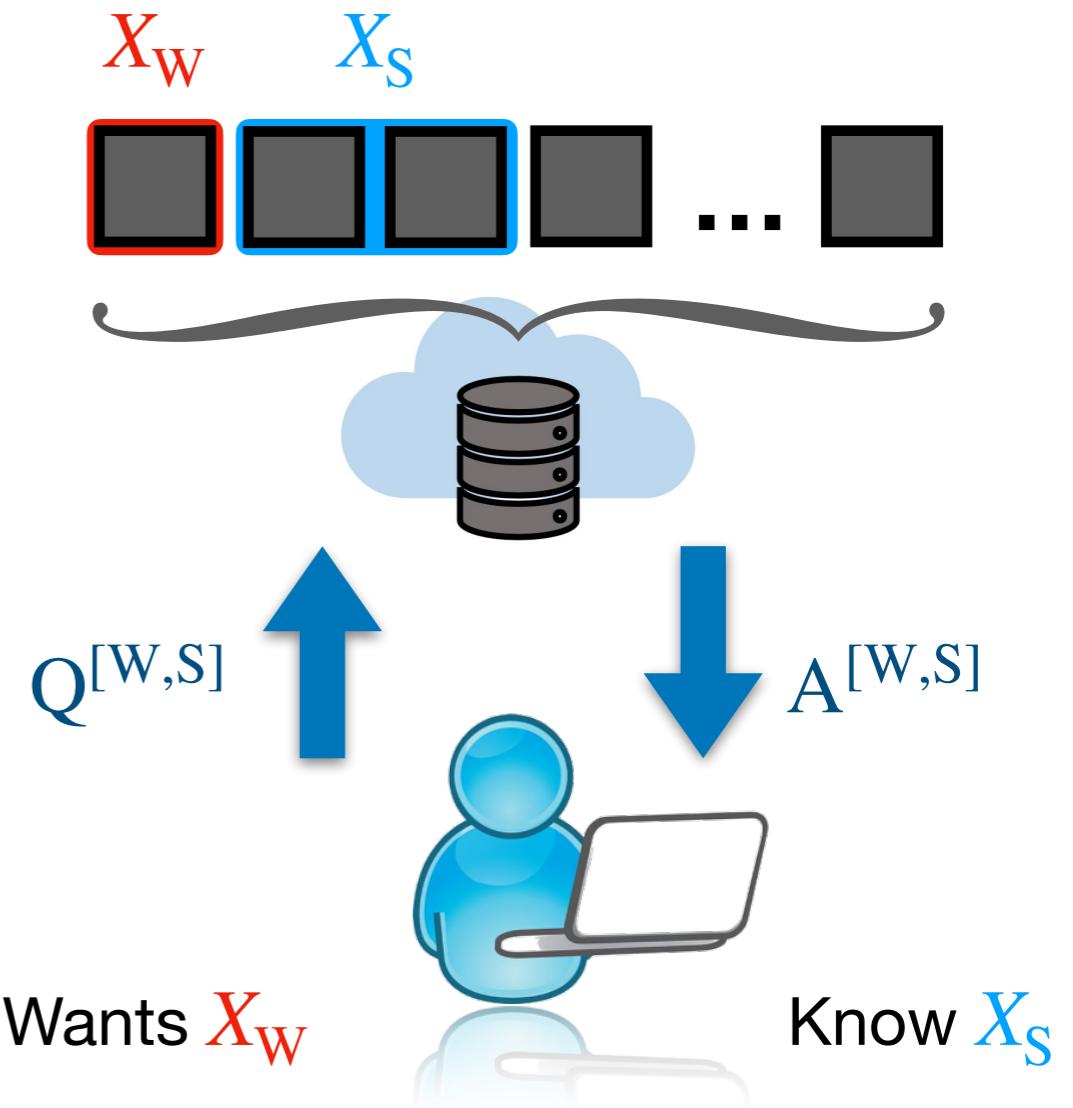
$$H(X_i) = n \log_2 q := B \quad \forall i \in \mathcal{K} \triangleq \{1, \dots, K\}$$



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$X_S$ : Side info. message(s)

$X_W$ : Demand message(s)

$S$ : Side info. index set

$W$ : Demand index set

$M$ : # side info. message(s)

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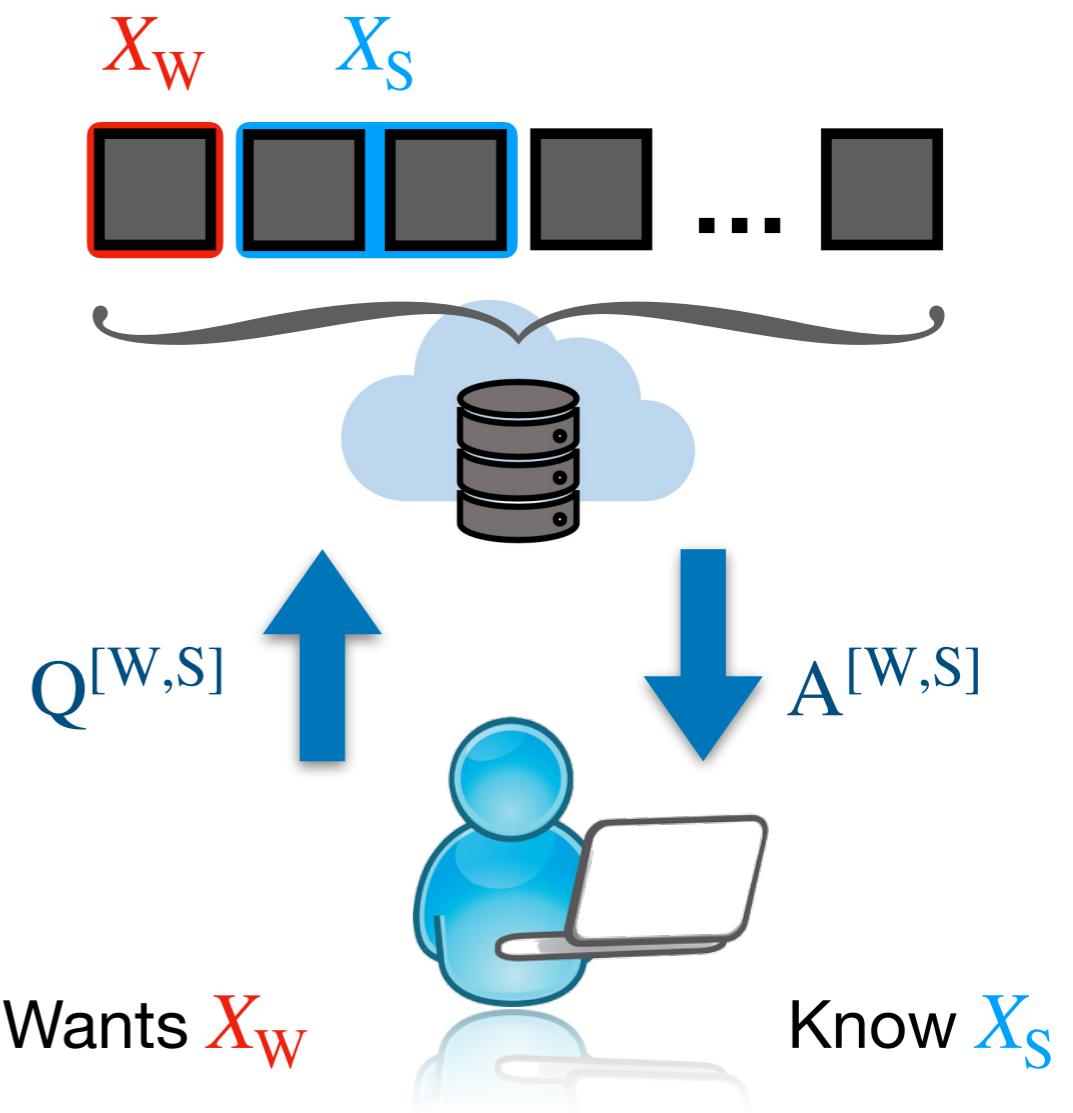
$$H(X_i) = n \log_2 q := B \quad \forall i \in \mathcal{K} \triangleq \{1, \dots, K\}$$

- Message popularities

$$\lambda_i > 0 \quad \forall i \in \mathcal{K}$$

- Popularity Profile

$$\Lambda \triangleq (\lambda_1, \lambda_2, \lambda_3, \dots, \lambda_K)$$



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 $X_W$ : Demand message(s)  
 $S$ : Side info. index set  
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## Probability Model

- Side information index set is distributed uniformly.

$$p_{\mathbf{S}}(\mathbf{S}^*) \triangleq \frac{1}{\binom{K}{M}} \quad \forall \mathbf{S}^* \in [\mathcal{K}]^M \quad [\mathcal{K}]^M \text{ denotes the set of all } M\text{-size subsets of } \mathcal{K}.$$

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**Why is this a good assumption?**

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- Conditional distribution of demand index given side info. index set is a function of the popularity profile.

$$p_{\mathbf{W}|\mathbf{S}}(\mathbf{W}^* | \mathbf{S}^*) \triangleq \begin{cases} \frac{\lambda_{\mathbf{W}^*}}{\sum_{i \in \mathcal{K} \setminus \mathbf{S}^*} \lambda_i} & \forall \mathbf{W}^* \in \mathcal{K}, \forall \mathbf{S}^* \in [\mathcal{K} \setminus \mathbf{W}^*]^M, \\ 0 & \text{otherwise.} \end{cases}$$

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- Resulting marginal distribution of demand index...

$$p_{\mathbf{W}}(\mathbf{W}^*) = \frac{1}{\binom{K}{M}} \sum_{\mathbf{S}^* \in [\mathcal{K} \setminus \mathbf{W}^*]^M} \frac{\lambda_{\mathbf{W}^*}}{\sum_{i \in \mathcal{K} \setminus \mathbf{S}^*} \lambda_i} \quad \forall \mathbf{W}^* \in \mathcal{K}.$$

## Requirements

- Feasibility: Answer must be a deterministic function of query and messages.

$$H(\mathbf{A}^{[W,S]} | \mathbf{Q}^{[W,S]}, \mathbf{X}_1, \dots, \mathbf{X}_K) = 0$$

- Decodability: Demand must be recoverable from answer, query, and side info.

$$H(\mathbf{X}_W | \mathbf{A}, \mathbf{Q}, \mathbf{X}_S) = 0$$

- Privacy: Query must not reveal any information about the demand index.

$$\mathbb{P}(\mathbf{W} = W^* | \mathbf{Q} = Q) = \mathbb{P}(\mathbf{W} = W^*) \quad \forall W^* \in \mathcal{K}.$$

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- Given the popularity profile  $\Lambda$ , the PA-PIR-SI problem is to design a protocol to generate  $\mathbf{Q}$  and  $\mathbf{A}$ , for any given  $(W, S)$ , to satisfy these conditions.

## Characterizing Performance

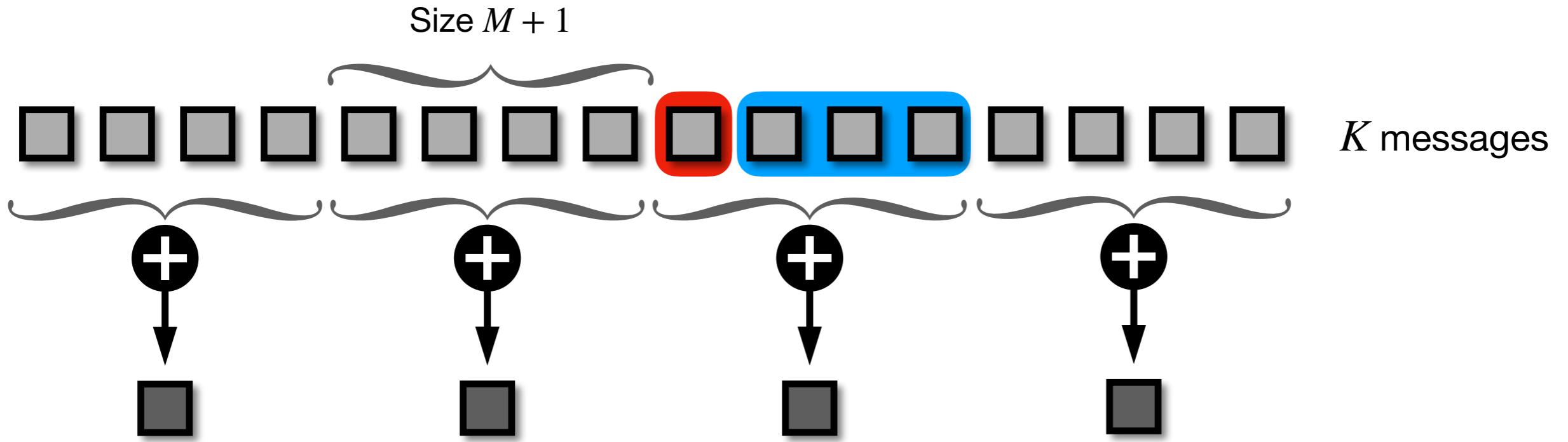
- In particular, interested in the most efficient protocols.

- The **rate** of a PA-PIR-SI protocol given by 
$$\frac{\text{Amount of info. demanded}}{\text{Expected amount of info. downloaded}}$$

$$B = \frac{1}{\sum_{W^* \in \mathcal{K}} \sum_{S^* \in [\mathcal{K} \setminus W^*]^M} p_{W,S}(W^*, S^*) H(A^{[W^*, S^*]})}$$

- The **capacity** is the supremum of rates over all PA-PIR-SI protocols for  $\Lambda$ .
- Goal: Derive tight bounds on the capacity of the PA-PIR-SI problem
  - Upper bound (converse)
  - Lower bound (achievability)

## Partition-and-Code Scheme (Kadhe et al. '17)



1. Assign  $X_1, \dots, X_K$  to disjoint sets, each of size  $M + 1$ .
2. Assign the side info. messages and the demand message to one set.
3. Assign the rest of the messages to the remaining sets at random.
4. Query server for the sum of all messages in each set.

User decodes  $X_W$  by subtracting  $M$  side info. messages  $X_S$  off of the sum  $\sum_{i \in W \cup S} X_i$

Download Rate  $\frac{M+1}{K}$

## MDS Code Scheme (Kadhe et al.)

1. Choose distinct  $\omega_1, \dots, \omega_K \in \mathbb{F}_q$
2. Given user knows  $M$  side-information, query  $K - M$  linear combinations of form,

$$K - M \left\{ \begin{bmatrix} \omega_1^0 & \omega_2^0 & \cdots & \omega_K^0 \\ \omega_1^1 & \omega_2^1 & \cdots & \omega_K^1 \\ \vdots & \vdots & \ddots & \vdots \\ \omega_1^{K-M-1} & \omega_2^{K-M-1} & \cdots & \omega_K^{K-M-1} \end{bmatrix} \begin{bmatrix} X_1 \\ X_2 \\ \vdots \\ X_K \end{bmatrix} \right.$$

$\underbrace{\hspace{10em}}_{K}$

User decodes  $X_1, \dots, X_K$  by subtracting off  $M$  side-information from each linear combination and solving resulting system of equations

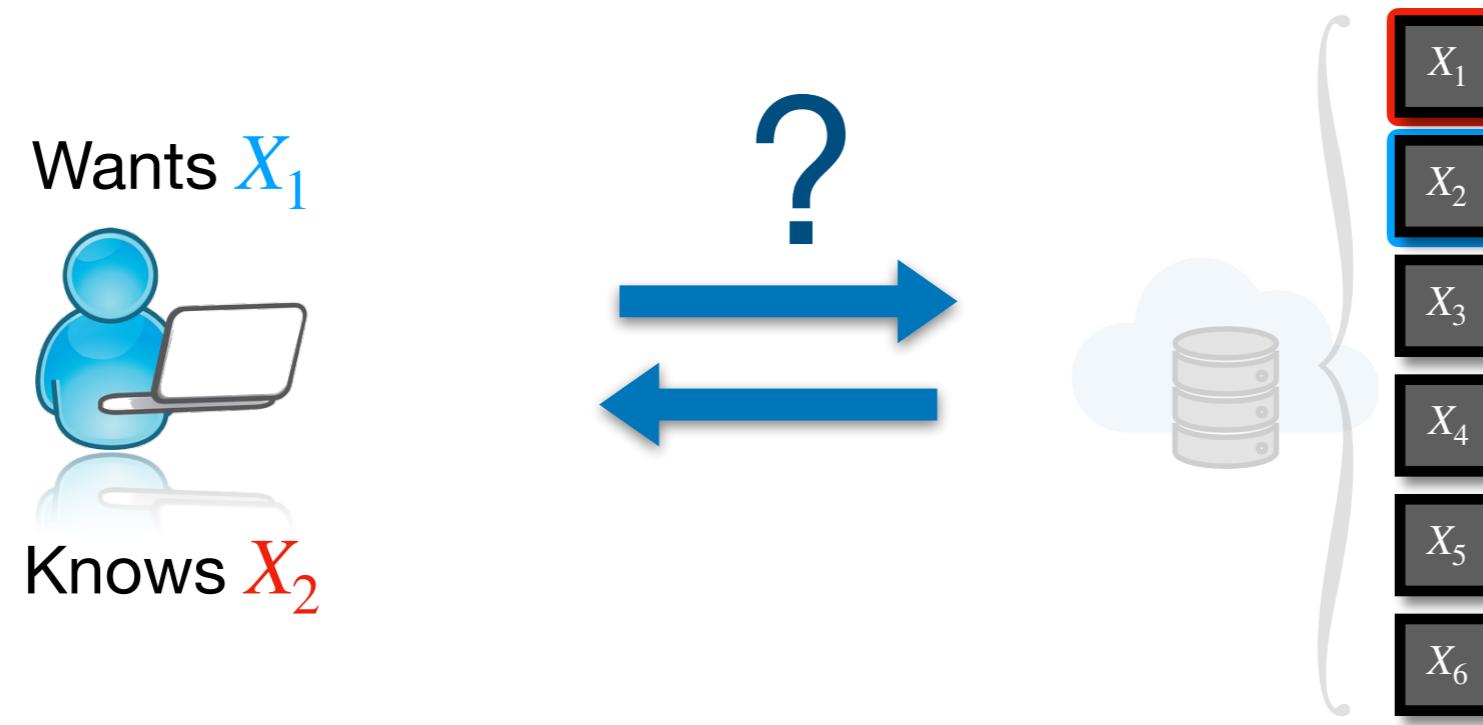
Download Rate  $\frac{1}{K - M}$

# Outline

- Model + Assumptions
- A Motivating Example
- Main Results
- Simulations
- Summary and Open Problems

$$K = 6, \quad \lambda_1 = \lambda_2 = \lambda_3 = \lambda_4 = \lambda_5 = \lambda_6$$

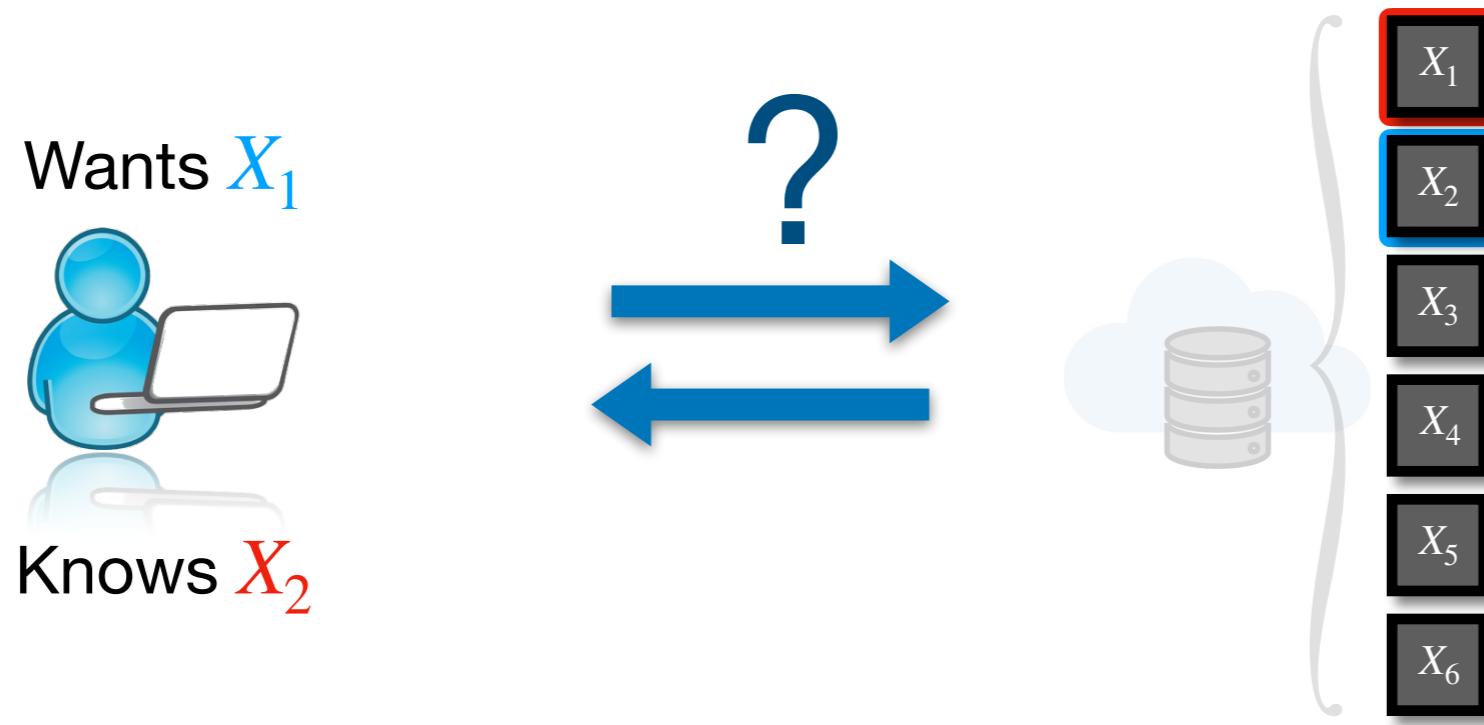
## The “uniform popularities” case



What can we do with existing PIR-SI protocols in this setting?

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## The “uniform popularities” case



### *MDS Code Scheme*

- Download rate  $1/(K - M) = 1/5$
- Decodability satisfied by MDS property.
- Privacy satisfied, same query for all  $(W, S)$

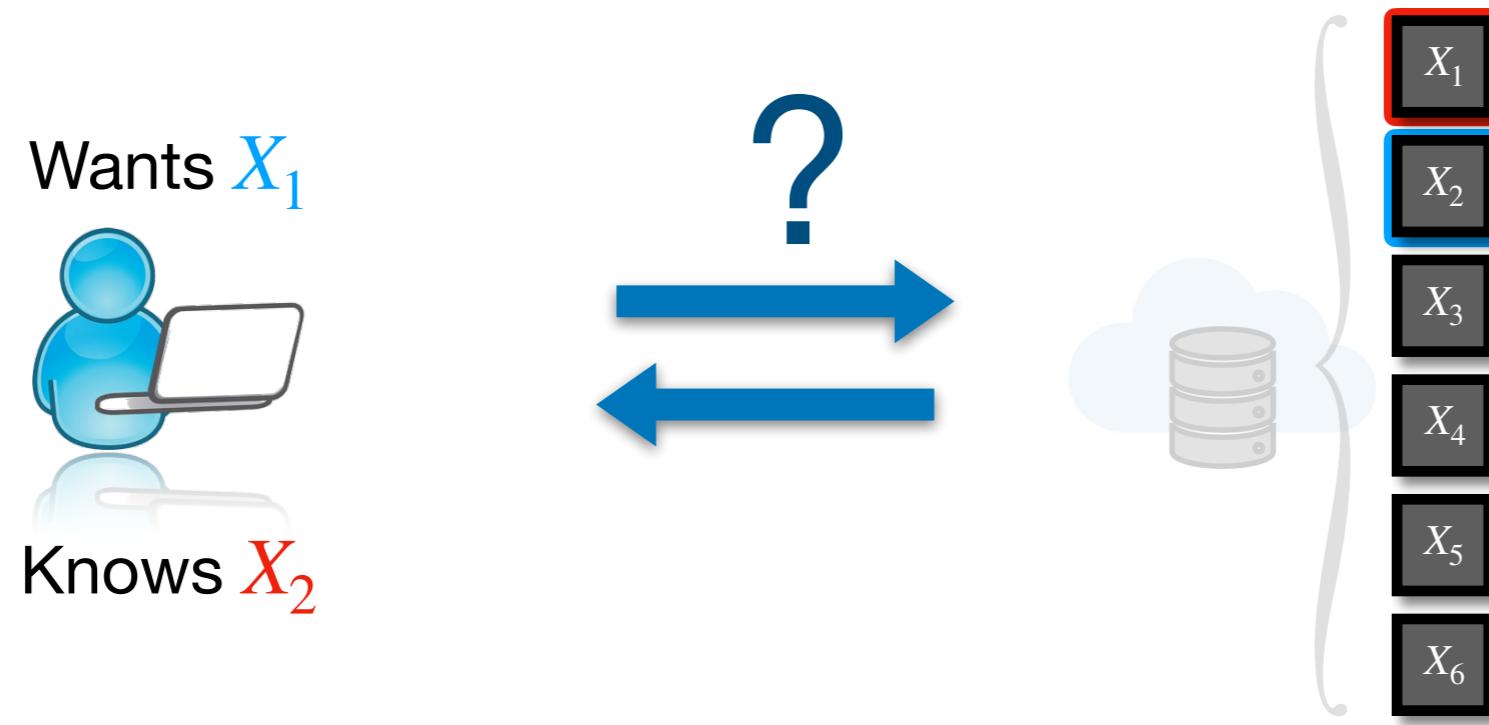
### *Partition-and-Code Scheme*

- Download rate  $(M + 1)/K = 1/3$
- Decodability satisfied.
- Direct computation shows privacy satisfied.

**Optimal scheme in this setting\***

$$K = 6, \quad \Lambda = (2,1,1,1,1,1)$$

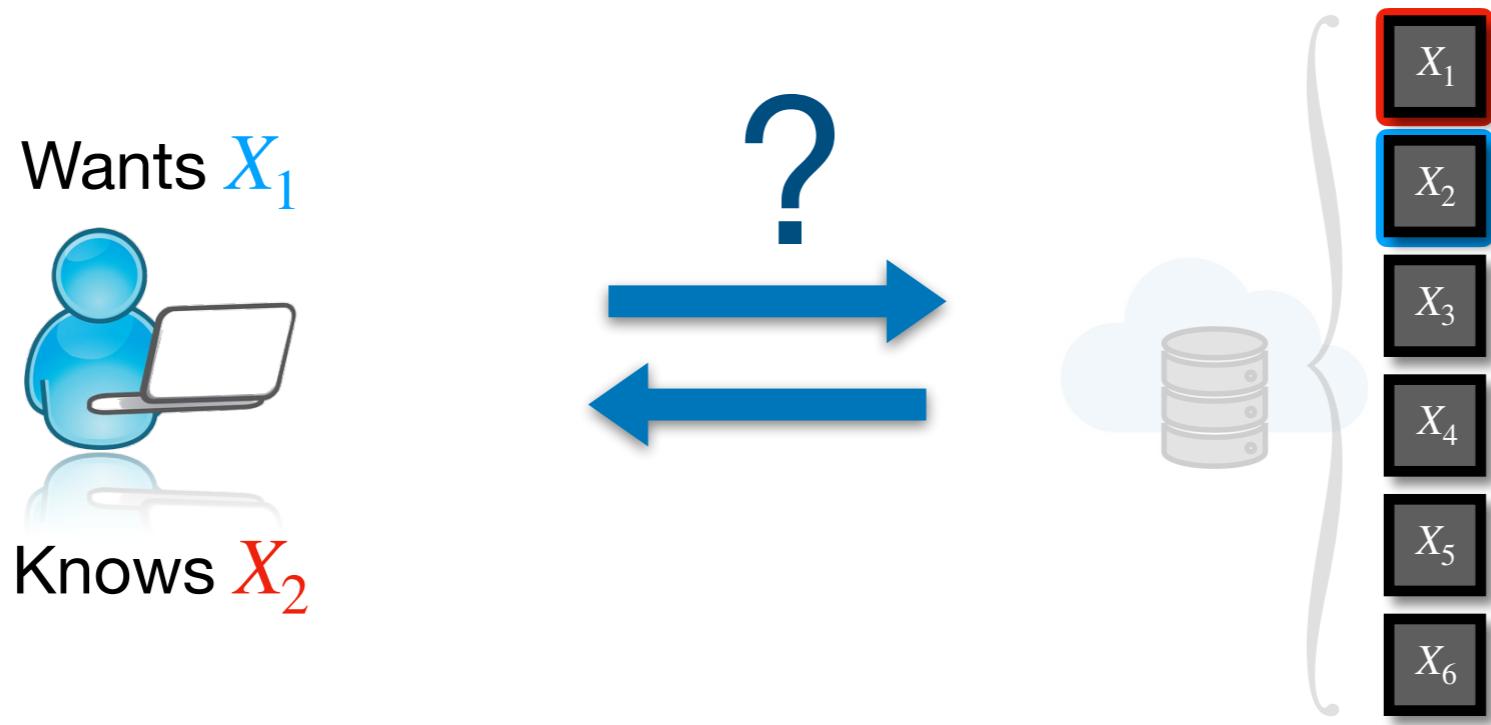
## The “non-uniform popularities” case



What can we do with existing PIR-SI protocols in this new setting?

$$K = 6, \quad \Lambda = (2,1,1,1,1,1)$$

## The “non-uniform popularities” case



### *MDS Code Scheme*

- Download rate  $1/(K - M) = 1/5$
- Decodability satisfied by MDS property.
- Privacy satisfied, same query for all  $(W, S)$

### *Partition-and-Code Scheme*

- Privacy condition does not hold.
- **Cannot use this scheme in this setting.**
- (Rate is immaterial).

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## Capacity of PA-PIR-SI

**Theorem 1.** For PA-PIR-SI with  $K$  messages and  $M$  side info. messages such that  $M + 1$  is a divisor of  $K$  and strictly less than  $\sqrt{K}$ , under any popularity profile  $\Lambda$ , the capacity is upper bounded by

$$R_{\text{UB}} = \frac{M + 1}{K}$$

and is lower bounded by

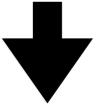
$$R_{\text{LB}} = \left( K - M - \left( K - M - \frac{K}{M + 1} \right) \times \Gamma_{\{1\}, [2:M+1]} \frac{p_{\mathbf{W}, \mathbf{S}}(\{1\}, [2 : M + 1])}{p_{\mathbf{W}}(\{1\})} \binom{K - 1}{M} \right)^{-1}$$

where

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# Capacity of PA-PIR-SI

**Divisibility Condition**



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# Achievability Scheme

## Random Code Selection (RCS) Scheme

- Given realization  $(W^*, S^*)$

$$\Gamma_{W^*,S^*} \triangleq \Gamma_{\{1\},[2:M+1]} \frac{p_{W,S}(\{1\}, [2 : M + 1]) p_W(W^*)}{p_{W,S}(W^*, S^*) p_W(\{1\})}$$

Wants  $X_{W^*}$



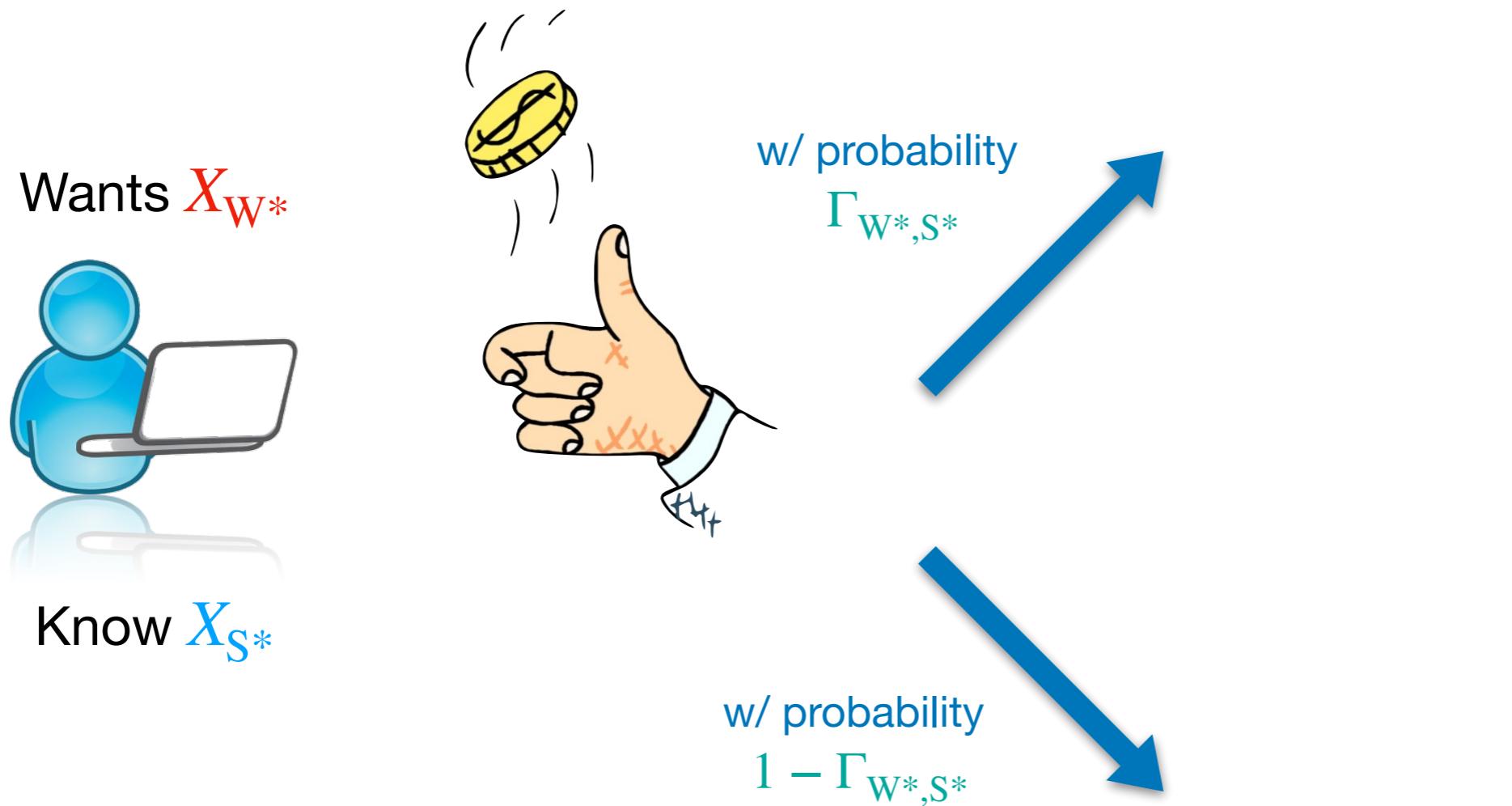
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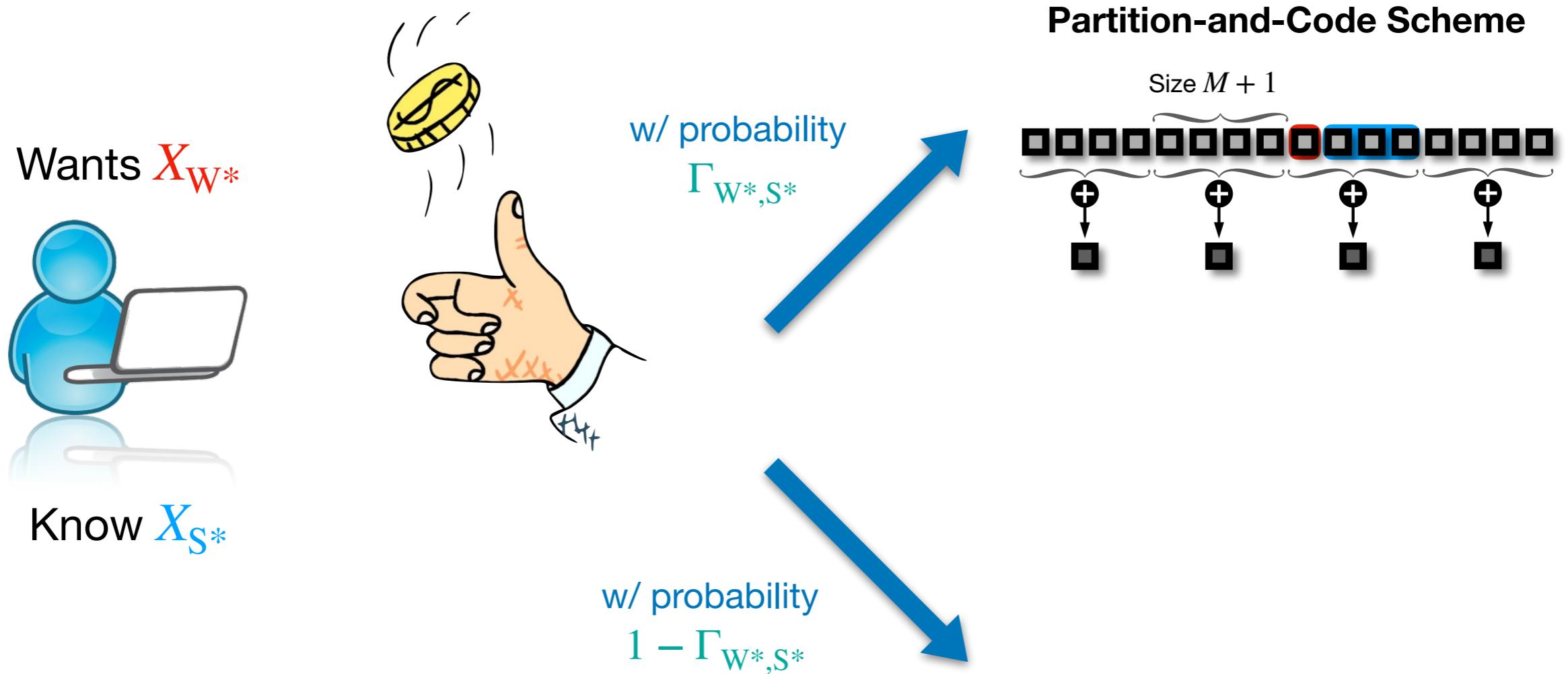


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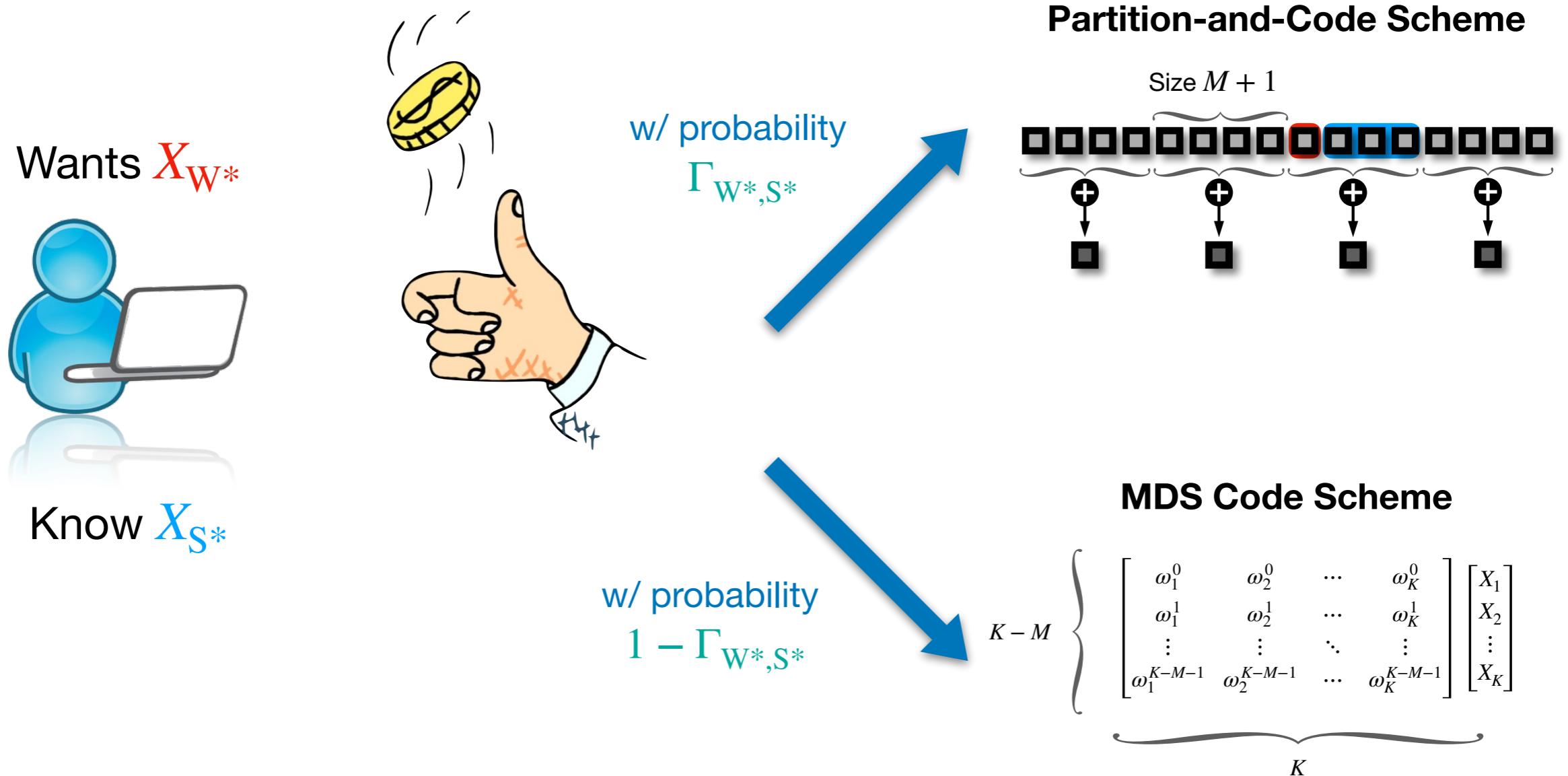


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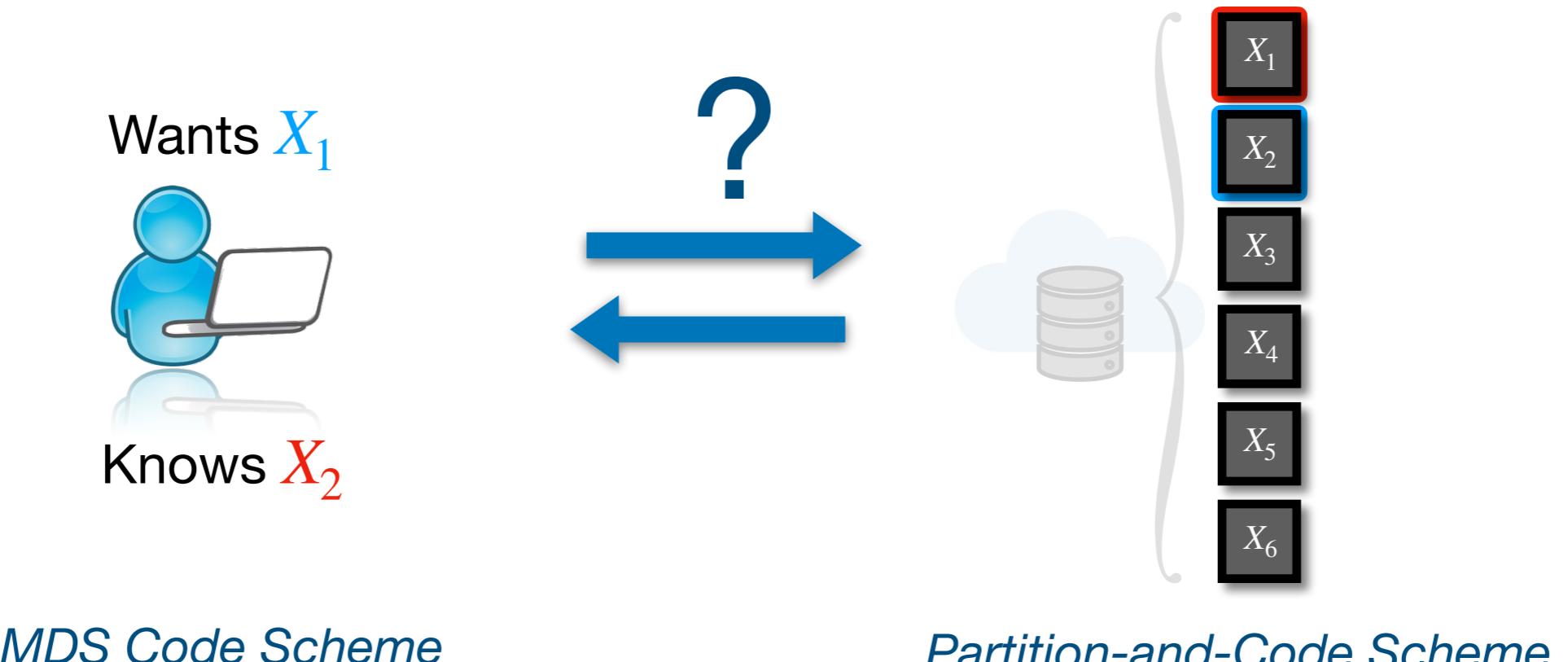
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- Download rate  $1/(K - M) = 1/5$
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### *Partition-and-Code Scheme*

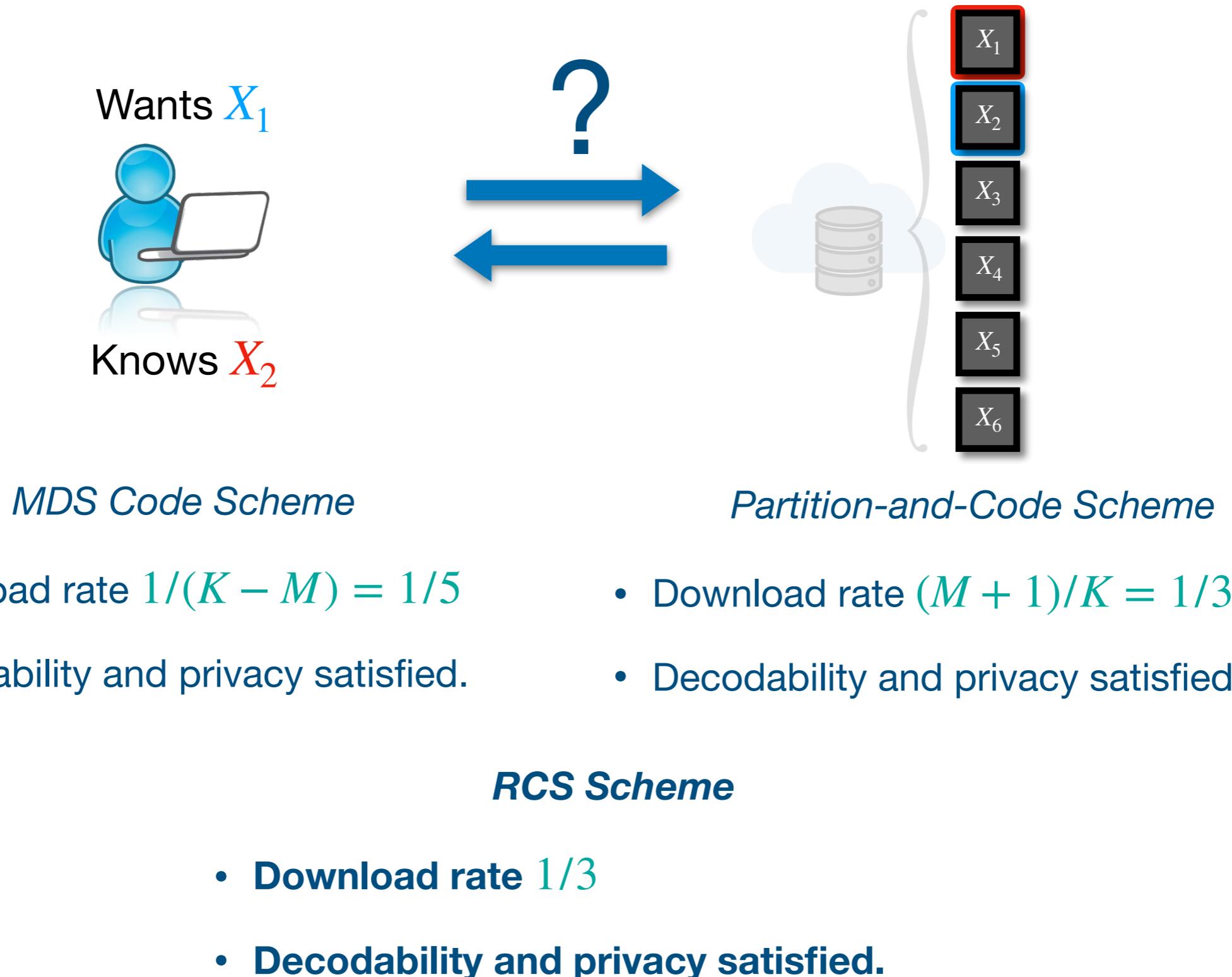
- Download rate N/A
- Cannot use this scheme in this setting.

### *RCS Scheme*

- **Download rate  $13/40$  ( $> 1/5$ )**
- **Decodability and privacy satisfied.**

$$K = 6, \quad \lambda_1 = \lambda_2 = \lambda_3 = \lambda_4 = \lambda_5 = \lambda_6$$

## The “uniform popularities” case



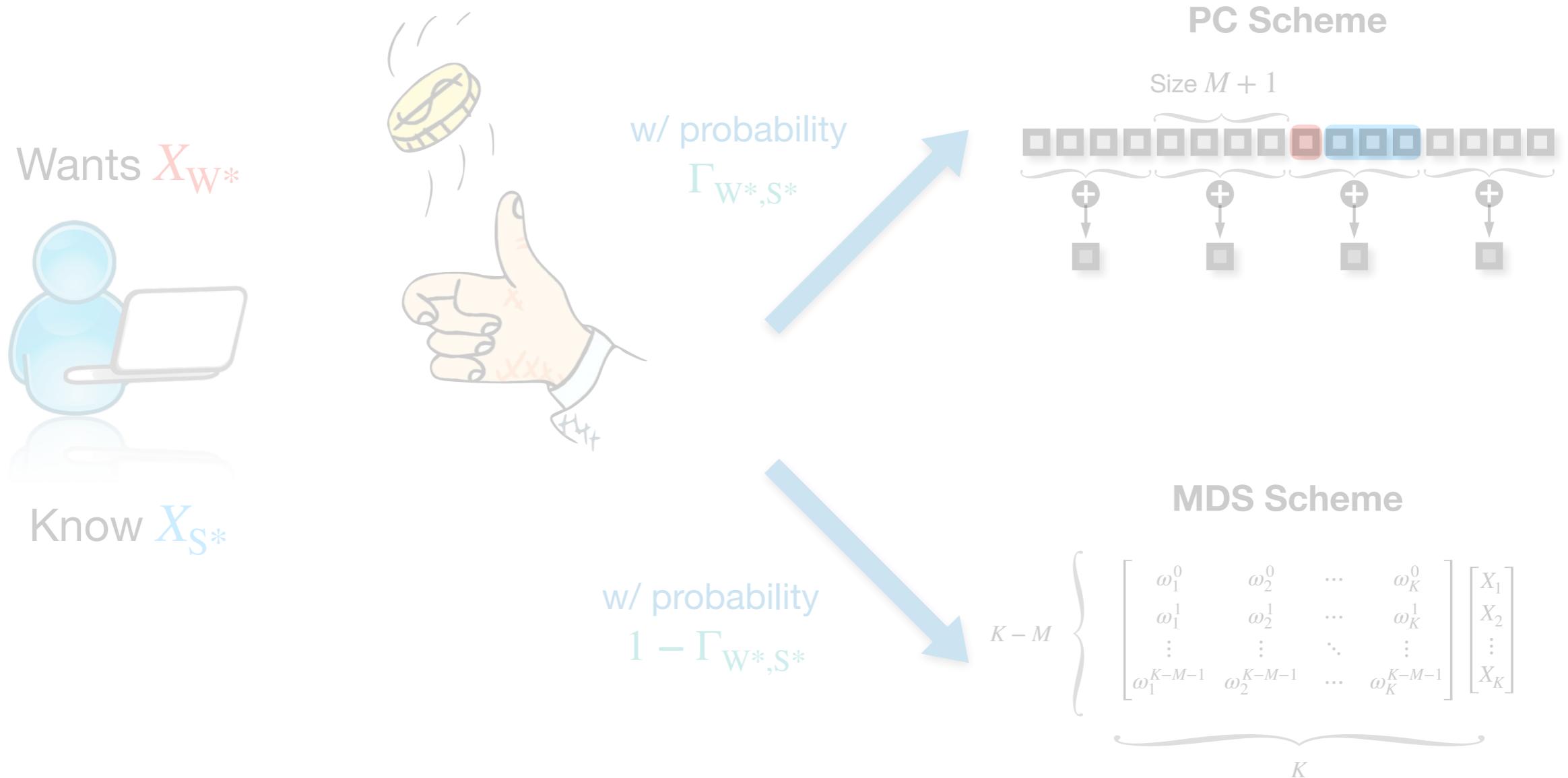
# Achievability Scheme

## Random Code Selection (RCS) Scheme

- Given realization  $(W^*, S^*)$

$$\Gamma_{W^*,S^*} \triangleq \Gamma_{\{1\},[2:M+1]} \frac{p_{W,S}(\{1\}, [2:M+1]) p_W(W^*)}{p_{W,S}(W^*, S^*) p_W(\{1\})},$$

**Where does this choice come from?**



## The $M = 1$ Case

- Consider parameters  $\Gamma_{i,j}$  for each pair  $(i, j) \in \mathcal{K} \times \mathcal{K}, i \neq j$
- Given  $(i, j)$ , follow Partition-and-Code Scheme w.p.  $\Gamma_{i,j}$  or MDS Scheme w.p  $1 - \Gamma_{i,j}$
- Want to maximize RCS rate ...



$$\mathbb{E}_{\sim(\mathbf{W}, \mathbf{S})}[\cdot] = \left( \sum_{i, j \in \mathcal{K} \times \mathcal{K}, i \neq j} p_{\mathbf{W}, \mathbf{S}}(i, j) \times \left[ \underbrace{\Gamma_{i,j} \left( \frac{K}{M+1} \right)}_{1/\text{rate of Partition-and-Code Scheme}} + \underbrace{(1 - \Gamma_{i,j})(K - M)}_{1/\text{rate of MDS}} \right] \right)^{-1}$$

... subject to privacy condition.

## The $M = 1$ Case

**Maximize**

$$\left( \sum_{i,j \in \mathcal{K} \times \mathcal{K}, i \neq j} p_{\mathbf{W}, \mathbf{S}}(i, j) \times \underbrace{\left[ \Gamma_{i,j} \left( \frac{K}{M+1} \right) + (1 - \Gamma_{i,j})(K - M) \right]}_{\substack{1/\text{rate of} \\ \text{Partition-and-Code} \\ \text{Scheme}}} \right)^{-1} \underbrace{\substack{} \\ \mathbb{E}_{\sim(\mathbf{W}, \mathbf{S})}[\cdot]}$$

s.t.  $\mathbb{P}(\mathbf{W} = i \mid \mathbf{Q} = \mathbf{Q}) = \mathbb{P}(\mathbf{W} = i) \quad \forall i \in \mathcal{K}.$

## The $M = 1$ Case

Maximize

$$\left( \sum_{i,j \in \mathcal{K} \times \mathcal{K}, i \neq j} p_{\mathbf{W}, \mathbf{S}}(i, j) \times \underbrace{\left[ \Gamma_{i,j} \left( \frac{K}{M+1} \right) + (1 - \Gamma_{i,j})(K - M) \right]}_{\substack{1/\text{rate of} \\ \text{Partition-and-Code} \\ \text{Scheme}}} \right)^{-1} \underbrace{\substack{} \\ \mathbb{E}_{\sim(\mathbf{W}, \mathbf{S})}[\cdot]}$$

s.t.  $\mathbb{P}(\mathbf{W} = i \mid \mathbf{Q} = \mathbf{Q}) = \mathbb{P}(\mathbf{W} = i) \quad \forall i \in \mathcal{K}.$

Is this optimization over  $K^2 - K$  variables?

## The $M = 1$ Case

Maximize

$$\left( \sum_{i,j \in \mathcal{K} \times \mathcal{K}, i \neq j} p_{\mathbf{W}, \mathbf{S}}(i, j) \times \left[ \underbrace{\Gamma_{i,j} \left( \frac{K}{M+1} \right)}_{\text{1/rate of Partition-and-Code Scheme}} + \underbrace{(1 - \Gamma_{i,j})(K - M)}_{\text{1/rate of MDS}} \right] \right)^{-1}$$

s.t.  $\mathbb{P}(\mathbf{W} = i \mid \mathbf{Q} = \mathbf{Q}) = \mathbb{P}(\mathbf{W} = i) \quad \forall i \in \mathcal{K}.$

Is this optimization over  $K^2 - K$  variables?

No; the privacy condition allows us to reduce the problem to a single variable.

## The $M = 1, K = 6$ Case, Enumerating Queries

- Recall MDS query is the same for all  $(W, S)$
- Only Partition-and-Code Scheme queries have dependence on  $(W, S)$
- We can represent each Partition-and-Code Scheme query as a partition.
- For example,

$\{1,2\}$   
 $\{3,4\}$   
 $\{5,6\}$



describes any query requesting

$$X_1 + X_2, \quad X_3 + X_4, \quad X_5 + X_6$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	{1,2}
	{3,4}
	{5,6}

$Q_2$	{1,2}
	{3,6}
	{4,5}

$Q_3$	{1,2}
	{3,5}
	{4,6}

$Q_4$	{1,3}
	{2,4}
	{5,6}

$Q_5$	{1,3}
	{2,6}
	{4,5}

$Q_6$	{1,3}
	{2,5}
	{4,6}

$Q_7$	{1,4}
	{2,3}
	{5,6}

$Q_8$	{1,4}
	{2,6}
	{3,5}

$Q_9$	{1,4}
	{2,5}
	{3,6}

$Q_{10}$	{1,5}
	{2,3}
	{4,6}

$Q_{11}$	{1,5}
	{2,6}
	{3,4}

$Q_{12}$	{1,5}
	{2,4}
	{3,6}

$Q_{13}$	{1,6}
	{2,3}
	{4,5}

$Q_{14}$	{1,6}
	{2,5}
	{3,4}

$Q_{15}$	{1,6}
	{2,4}
	{5,3}

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	{1,2}
	{3,4}
	{5,6}
$Q_2$	{1,2}
	{3,6}
	{4,5}
$Q_3$	{1,2}
	{3,5}
	{4,6}
$Q_4$	{1,3}
	{2,4}
	{5,6}
$Q_5$	{1,3}
	{2,6}
	{4,5}
$Q_6$	{1,3}
	{2,5}
	{4,6}
$Q_7$	{1,4}
	{2,3}
	{5,6}
$Q_8$	{1,4}
	{2,6}
	{3,5}
$Q_9$	{1,4}
	{2,5}
	{3,6}
$Q_{10}$	{1,5}
	{2,3}
	{4,6}
$Q_{11}$	{1,5}
	{2,6}
	{3,4}
$Q_{12}$	{1,5}
	{2,4}
	{3,6}
$Q_{13}$	{1,6}
	{2,3}
	{4,5}
$Q_{14}$	{1,6}
	{2,5}
	{3,4}
$Q_{15}$	{1,6}
	{2,4}
	{5,3}

Privacy condition yields some useful identities.

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	{1,2} {3,4} {5,6}
$Q_2$	{1,2} {3,6} {4,5}
$Q_3$	{1,2} {3,5} {4,6}
$Q_4$	{1,3} {2,4} {5,6}
$Q_5$	{1,3} {2,6} {4,5}
$Q_6$	{1,3} {2,5} {4,6}
$Q_7$	{1,4} {2,3} {5,6}
$Q_8$	{1,4} {2,6} {3,5}
$Q_9$	{1,4} {2,5} {3,6}
$Q_{10}$	{1,5} {2,3} {4,6}
$Q_{11}$	{1,5} {2,6} {3,4}
$Q_{12}$	{1,5} {2,4} {3,6}
$Q_{13}$	{1,6} {2,3} {4,5}
$Q_{14}$	{1,6} {2,5} {3,4}
$Q_{15}$	{1,6} {2,4} {5,3}

Privacy condition yields some useful identities.

$$\mathbb{P}(W = 1 \mid Q_1)$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	{1,2} {3,4} {5,6}
$Q_2$	{1,2} {3,6} {4,5}
$Q_3$	{1,2} {3,5} {4,6}
$Q_4$	{1,3} {2,4} {5,6}
$Q_5$	{1,3} {2,6} {4,5}
$Q_6$	{1,3} {2,5} {4,6}
$Q_7$	{1,4} {2,3} {5,6}
$Q_8$	{1,4} {2,6} {3,5}
$Q_9$	{1,4} {2,5} {3,6}
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$Q_{11}$	{1,5} {2,6} {3,4}
$Q_{12}$	{1,5} {2,4} {3,6}
$Q_{13}$	{1,6} {2,3} {4,5}
$Q_{14}$	{1,6} {2,5} {3,4}
$Q_{15}$	{1,6} {2,4} {5,3}

Privacy condition yields some useful identities.

$$\mathbb{P}(W = 1 \mid Q_1)$$

$$= \frac{\mathbb{P}(Q_1 \mid W = 1, S = 2) \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(Q_1)}$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	$\{1,2\}$ $\{3,4\}$ $\{5,6\}$	$Q_2$	$\{1,2\}$ $\{3,6\}$ $\{4,5\}$	$Q_3$	$\{1,2\}$ $\{3,5\}$ $\{4,6\}$
$Q_4$	$\{1,3\}$ $\{2,4\}$ $\{5,6\}$	$Q_5$	$\{1,3\}$ $\{2,6\}$ $\{4,5\}$	$Q_6$	$\{1,3\}$ $\{2,5\}$ $\{4,6\}$
$Q_7$	$\{1,4\}$ $\{2,3\}$ $\{5,6\}$	$Q_8$	$\{1,4\}$ $\{2,6\}$ $\{3,5\}$	$Q_9$	$\{1,4\}$ $\{2,5\}$ $\{3,6\}$
$Q_{10}$	$\{1,5\}$ $\{2,3\}$ $\{4,6\}$	$Q_{11}$	$\{1,5\}$ $\{2,6\}$ $\{3,4\}$	$Q_{12}$	$\{1,5\}$ $\{2,4\}$ $\{3,6\}$
$Q_{13}$	$\{1,6\}$ $\{2,3\}$ $\{4,5\}$	$Q_{14}$	$\{1,6\}$ $\{2,5\}$ $\{3,4\}$	$Q_{15}$	$\{1,6\}$ $\{2,4\}$ $\{5,3\}$

Privacy condition yields some useful identities.

$$\mathbb{P}(W = 1 \mid Q_1)$$

$$= \frac{\mathbb{P}(Q_1 \mid W = 1, S = 2) \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(Q_1)}$$

$$= \frac{\Gamma_{1,2} \times \frac{1}{L} \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(Q_1)}$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$L = 3$

$Q_1$	$\{1,2\}$ $\{3,4\}$ $\{5,6\}$	$Q_2$	$\{1,2\}$ $\{3,6\}$ $\{4,5\}$	$Q_3$	$\{1,2\}$ $\{3,5\}$ $\{4,6\}$
$Q_4$	$\{1,3\}$ $\{2,4\}$ $\{5,6\}$	$Q_5$	$\{1,3\}$ $\{2,6\}$ $\{4,5\}$	$Q_6$	$\{1,3\}$ $\{2,5\}$ $\{4,6\}$
$Q_7$	$\{1,4\}$ $\{2,3\}$ $\{5,6\}$	$Q_8$	$\{1,4\}$ $\{2,6\}$ $\{3,5\}$	$Q_9$	$\{1,4\}$ $\{2,5\}$ $\{3,6\}$
$Q_{10}$	$\{1,5\}$ $\{2,3\}$ $\{4,6\}$	$Q_{11}$	$\{1,5\}$ $\{2,6\}$ $\{3,4\}$	$Q_{12}$	$\{1,5\}$ $\{2,4\}$ $\{3,6\}$
$Q_{13}$	$\{1,6\}$ $\{2,3\}$ $\{4,5\}$	$Q_{14}$	$\{1,6\}$ $\{2,5\}$ $\{3,4\}$	$Q_{15}$	$\{1,6\}$ $\{2,4\}$ $\{5,3\}$

Privacy condition yields some useful identities.

$$\mathbb{P}(W = 1 \mid Q_1)$$

$$= \frac{\mathbb{P}(Q_1 \mid W = 1, S = 2) \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(Q_1)}$$

$$= \frac{\Gamma_{1,2} \times \frac{1}{L} \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(Q_1)}$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	$\{1,2\}$ $\{3,4\}$ $\{5,6\}$	$Q_2$	$\{1,2\}$ $\{3,6\}$ $\{4,5\}$	$Q_3$	$\{1,2\}$ $\{3,5\}$ $\{4,6\}$
$Q_4$	$\{1,3\}$ $\{2,4\}$ $\{5,6\}$	$Q_5$	$\{1,3\}$ $\{2,6\}$ $\{4,5\}$	$Q_6$	$\{1,3\}$ $\{2,5\}$ $\{4,6\}$
$Q_7$	$\{1,4\}$ $\{2,3\}$ $\{5,6\}$	$Q_8$	$\{1,4\}$ $\{2,6\}$ $\{3,5\}$	$Q_9$	$\{1,4\}$ $\{2,5\}$ $\{3,6\}$
$Q_{10}$	$\{1,5\}$ $\{2,3\}$ $\{4,6\}$	$Q_{11}$	$\{1,5\}$ $\{2,6\}$ $\{3,4\}$	$Q_{12}$	$\{1,5\}$ $\{2,4\}$ $\{3,6\}$
$Q_{13}$	$\{1,6\}$ $\{2,3\}$ $\{4,5\}$	$Q_{14}$	$\{1,6\}$ $\{2,5\}$ $\{3,4\}$	$Q_{15}$	$\{1,6\}$ $\{2,4\}$ $\{5,3\}$

Privacy condition yields some useful identities.

$$\mathbb{P}(W = 1 | Q_1)$$

$$= \frac{\mathbb{P}(Q_1 | W = 1, S = 2) \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(Q_1)}$$

$$= \frac{\Gamma_{1,2} \times \frac{1}{L} \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(Q_1)}$$

$$= \mathbb{P}(W = 1)$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	$\{1,2\}$ $\{3,4\}$ $\{5,6\}$	$Q_2$	$\{1,2\}$ $\{3,6\}$ $\{4,5\}$	$Q_3$	$\{1,2\}$ $\{3,5\}$ $\{4,6\}$
$Q_4$	$\{1,3\}$ $\{2,4\}$ $\{5,6\}$	$Q_5$	$\{1,3\}$ $\{2,6\}$ $\{4,5\}$	$Q_6$	$\{1,3\}$ $\{2,5\}$ $\{4,6\}$
$Q_7$	$\{1,4\}$ $\{2,3\}$ $\{5,6\}$	$Q_8$	$\{1,4\}$ $\{2,6\}$ $\{3,5\}$	$Q_9$	$\{1,4\}$ $\{2,5\}$ $\{3,6\}$
$Q_{10}$	$\{1,5\}$ $\{2,3\}$ $\{4,6\}$	$Q_{11}$	$\{1,5\}$ $\{2,6\}$ $\{3,4\}$	$Q_{12}$	$\{1,5\}$ $\{2,4\}$ $\{3,6\}$
$Q_{13}$	$\{1,6\}$ $\{2,3\}$ $\{4,5\}$	$Q_{14}$	$\{1,6\}$ $\{2,5\}$ $\{3,4\}$	$Q_{15}$	$\{1,6\}$ $\{2,4\}$ $\{5,3\}$

Privacy condition yields some useful identities.

$$\mathbb{P}(W = 1 | Q_1)$$

$$= \frac{\mathbb{P}(Q_1 | W = 1, S = 2) \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(Q_1)}$$

$$= \frac{\Gamma_{1,2} \times \frac{1}{L} \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(Q_1)}$$

$$= \mathbb{P}(W = 1)$$

$$\implies \mathbb{P}(Q_1) = \frac{\Gamma_{1,2} \times \frac{1}{L} \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(W = 1)}$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	{1,2} {3,4} {5,6}
$Q_2$	{1,2} {3,6} {4,5}
$Q_3$	{1,2} {3,5} {4,6}
$Q_4$	{1,3} {2,4} {5,6}
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$Q_6$	{1,3} {2,5} {4,6}
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$Q_{13}$	{1,6} {2,3} {4,5}
$Q_{14}$	{1,6} {2,5} {3,4}
$Q_{15}$	{1,6} {2,4} {5,3}

Privacy condition yields some useful identities.

$$\mathbb{P}(W = 2 \mid Q_1)$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	{1,2} {3,4} {5,6}
$Q_2$	{1,2} {3,6} {4,5}
$Q_3$	{1,2} {3,5} {4,6}
$Q_4$	{1,3} {2,4} {5,6}
$Q_5$	{1,3} {2,6} {4,5}
$Q_6$	{1,3} {2,5} {4,6}
$Q_7$	{1,4} {2,3} {5,6}
$Q_8$	{1,4} {2,6} {3,5}
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$Q_{13}$	{1,6} {2,3} {4,5}
$Q_{14}$	{1,6} {2,5} {3,4}
$Q_{15}$	{1,6} {2,4} {5,3}

Privacy condition yields some useful identities.

$$\mathbb{P}(W = 2 \mid Q_1)$$

$$= \frac{\mathbb{P}(Q_1 \mid W = 2, S = 1) \times \mathbb{P}(W = 2, S = 1)}{\mathbb{P}(Q_1)}$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	$\{1,2\}$ $\{3,4\}$ $\{5,6\}$	$Q_2$	$\{1,2\}$ $\{3,6\}$ $\{4,5\}$	$Q_3$	$\{1,2\}$ $\{3,5\}$ $\{4,6\}$
$Q_4$	$\{1,3\}$ $\{2,4\}$ $\{5,6\}$	$Q_5$	$\{1,3\}$ $\{2,6\}$ $\{4,5\}$	$Q_6$	$\{1,3\}$ $\{2,5\}$ $\{4,6\}$
$Q_7$	$\{1,4\}$ $\{2,3\}$ $\{5,6\}$	$Q_8$	$\{1,4\}$ $\{2,6\}$ $\{3,5\}$	$Q_9$	$\{1,4\}$ $\{2,5\}$ $\{3,6\}$
$Q_{10}$	$\{1,5\}$ $\{2,3\}$ $\{4,6\}$	$Q_{11}$	$\{1,5\}$ $\{2,6\}$ $\{3,4\}$	$Q_{12}$	$\{1,5\}$ $\{2,4\}$ $\{3,6\}$
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Privacy condition yields some useful identities.

$$\mathbb{P}(W = 2 | Q_1)$$

$$= \frac{\mathbb{P}(Q_1 | W = 2, S = 1) \times \mathbb{P}(W = 2, S = 1)}{\mathbb{P}(Q_1)}$$

$$= \frac{\Gamma_{2,1} \times \frac{1}{L} \times \mathbb{P}(W = 2, S = 1)}{\mathbb{P}(Q_1)}$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	$\{1,2\}$	$\{3,4\}$	$\{5,6\}$
$Q_2$	$\{1,2\}$	$\{3,6\}$	$\{4,5\}$
$Q_3$	$\{1,2\}$	$\{3,5\}$	$\{4,6\}$
$Q_4$	$\{1,3\}$	$\{2,4\}$	$\{5,6\}$
$Q_5$	$\{1,3\}$	$\{2,6\}$	$\{4,5\}$
$Q_6$	$\{1,3\}$	$\{2,5\}$	$\{4,6\}$
$Q_7$	$\{1,4\}$	$\{2,3\}$	$\{5,6\}$
$Q_8$	$\{1,4\}$	$\{2,6\}$	$\{3,5\}$
$Q_9$	$\{1,4\}$	$\{2,5\}$	$\{3,6\}$
$Q_{10}$	$\{1,5\}$	$\{2,3\}$	$\{4,6\}$
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$Q_{12}$	$\{1,5\}$	$\{2,4\}$	$\{3,6\}$
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$Q_{15}$	$\{1,6\}$	$\{2,4\}$	$\{5,3\}$

Privacy condition yields some useful identities.

$$\mathbb{P}(W = 2 \mid Q_1)$$

$$= \frac{\mathbb{P}(Q_1 \mid W = 2, S = 1) \times \mathbb{P}(W = 2, S = 1)}{\mathbb{P}(Q_1)}$$

$$= \frac{\Gamma_{2,1} \times \frac{1}{L} \times \mathbb{P}(W = 2, S = 1)}{\mathbb{P}(Q_1)}$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	$\{1,2\}$ $\{3,4\}$ $\{5,6\}$	$Q_2$	$\{1,2\}$ $\{3,6\}$ $\{4,5\}$	$Q_3$	$\{1,2\}$ $\{3,5\}$ $\{4,6\}$
$Q_4$	$\{1,3\}$ $\{2,4\}$ $\{5,6\}$	$Q_5$	$\{1,3\}$ $\{2,6\}$ $\{4,5\}$	$Q_6$	$\{1,3\}$ $\{2,5\}$ $\{4,6\}$
$Q_7$	$\{1,4\}$ $\{2,3\}$ $\{5,6\}$	$Q_8$	$\{1,4\}$ $\{2,6\}$ $\{3,5\}$	$Q_9$	$\{1,4\}$ $\{2,5\}$ $\{3,6\}$
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Privacy condition yields some useful identities.

$$\mathbb{P}(W = 2 | Q_1)$$

$$= \frac{\mathbb{P}(Q_1 | W = 2, S = 1) \times \mathbb{P}(W = 2, S = 1)}{\mathbb{P}(Q_1)}$$

$$= \frac{\Gamma_{2,1} \times \frac{1}{L} \times \mathbb{P}(W = 2, S = 1)}{\mathbb{P}(Q_1)}$$

$$= \mathbb{P}(W = 2)$$

# The $M = 1, K = 6$ Case, Enumerating Queries

All 15 possible queries

$Q_1$	$\{1,2\}$ $\{3,4\}$ $\{5,6\}$	$Q_2$	$\{1,2\}$ $\{3,6\}$ $\{4,5\}$	$Q_3$	$\{1,2\}$ $\{3,5\}$ $\{4,6\}$
$Q_4$	$\{1,3\}$ $\{2,4\}$ $\{5,6\}$	$Q_5$	$\{1,3\}$ $\{2,6\}$ $\{4,5\}$	$Q_6$	$\{1,3\}$ $\{2,5\}$ $\{4,6\}$
$Q_7$	$\{1,4\}$ $\{2,3\}$ $\{5,6\}$	$Q_8$	$\{1,4\}$ $\{2,6\}$ $\{3,5\}$	$Q_9$	$\{1,4\}$ $\{2,5\}$ $\{3,6\}$
$Q_{10}$	$\{1,5\}$ $\{2,3\}$ $\{4,6\}$	$Q_{11}$	$\{1,5\}$ $\{2,6\}$ $\{3,4\}$	$Q_{12}$	$\{1,5\}$ $\{2,4\}$ $\{3,6\}$
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$$\mathbb{P}(W = 2 | Q_1)$$

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$$= \mathbb{P}(W = 2)$$

$$\implies \mathbb{P}(Q_1) = \frac{\Gamma_{2,1} \times \frac{1}{L} \times \mathbb{P}(W = 2, S = 1)}{\mathbb{P}(W = 2)}$$

# The $M = 1, K = 6$ Case, Enumerating Queries

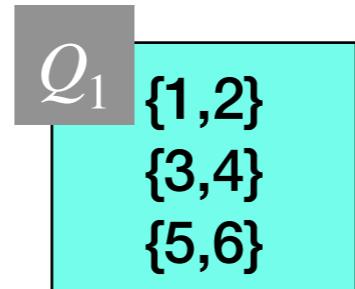
All 15 possible queries

$Q_1$	$\{1,2\}$ $\{3,4\}$ $\{5,6\}$	$Q_2$	$\{1,2\}$ $\{3,6\}$ $\{4,5\}$	$Q_3$	$\{1,2\}$ $\{3,5\}$ $\{4,6\}$
$Q_4$	$\{1,3\}$ $\{2,4\}$ $\{5,6\}$	$Q_5$	$\{1,3\}$ $\{2,6\}$ $\{4,5\}$	$Q_6$	$\{1,3\}$ $\{2,5\}$ $\{4,6\}$
$Q_7$	$\{1,4\}$ $\{2,3\}$ $\{5,6\}$	$Q_8$	$\{1,4\}$ $\{2,6\}$ $\{3,5\}$	$Q_9$	$\{1,4\}$ $\{2,5\}$ $\{3,6\}$
$Q_{10}$	$\{1,5\}$ $\{2,3\}$ $\{4,6\}$	$Q_{11}$	$\{1,5\}$ $\{2,6\}$ $\{3,4\}$	$Q_{12}$	$\{1,5\}$ $\{2,4\}$ $\{3,6\}$
$Q_{13}$	$\{1,6\}$ $\{2,3\}$ $\{4,5\}$	$Q_{14}$	$\{1,6\}$ $\{2,5\}$ $\{3,4\}$	$Q_{15}$	$\{1,6\}$ $\{2,4\}$ $\{5,3\}$

Privacy condition yields some useful identities.

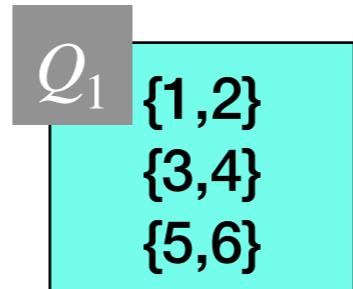
$$\begin{aligned} \mathbb{P}(Q_1) \\ = \frac{\Gamma_{1,2} \times \cancel{\frac{1}{L}} \times \mathbb{P}(W = 1, S = 2)}{\mathbb{P}(W = 1)} \\ \\ = \frac{\Gamma_{2,1} \times \cancel{\frac{1}{L}} \times \mathbb{P}(W = 2, S = 1)}{\mathbb{P}(W = 2)} \end{aligned}$$

## The $M = 1, K = 6$ Case, Identities

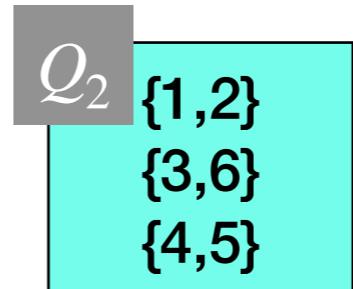


$$\mathbb{P}(Q_1) = \frac{\Gamma_{1,2} \times p_{\mathbf{W},\mathbf{S}}(1,2)}{p_{\mathbf{W}}(1)} = \frac{\Gamma_{2,1} \times p_{\mathbf{W},\mathbf{S}}(2,1)}{p_{\mathbf{W}}(2)} = \frac{\Gamma_{3,4} \times p_{\mathbf{W},\mathbf{S}}(3,4)}{p_{\mathbf{W}}(3)} = \frac{\Gamma_{4,3} \times p_{\mathbf{W},\mathbf{S}}(4,3)}{p_{\mathbf{W}}(4)} = \frac{\Gamma_{5,6} \times p_{\mathbf{W},\mathbf{S}}(5,6)}{p_{\mathbf{W}}(5)} = \frac{\Gamma_{6,5} \times p_{\mathbf{W},\mathbf{S}}(6,5)}{p_{\mathbf{W}}(6)}$$

## The $M = 1, K = 6$ Case, Identities



$$\mathbb{P}(Q_1) = \frac{\Gamma_{1,2} \times p_{W,S}(1,2)}{p_W(1)} = \frac{\Gamma_{2,1} \times p_{W,S}(2,1)}{p_W(2)} = \frac{\Gamma_{3,4} \times p_{W,S}(3,4)}{p_W(3)} = \frac{\Gamma_{4,3} \times p_{W,S}(4,3)}{p_W(4)} = \frac{\Gamma_{5,6} \times p_{W,S}(5,6)}{p_W(5)} = \frac{\Gamma_{6,5} \times p_{W,S}(6,5)}{p_W(6)}$$



$$\mathbb{P}(Q_2) = \frac{\Gamma_{1,2} \times p_{W,S}(1,2)}{p_W(1)} = \frac{\Gamma_{2,1} \times p_{W,S}(2,1)}{p_W(2)} = \frac{\Gamma_{3,6} \times p_{W,S}(3,6)}{p_W(3)} = \frac{\Gamma_{6,3} \times p_{W,S}(6,3)}{p_W(6)} = \frac{\Gamma_{5,4} \times p_{W,S}(5,4)}{p_W(5)} = \frac{\Gamma_{4,5} \times p_{W,S}(4,5)}{p_W(4)}$$

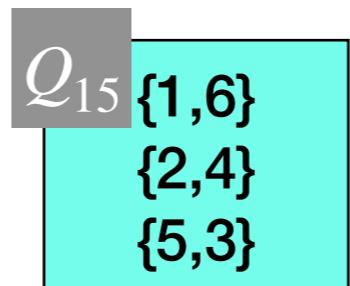
## The $M = 1, K = 6$ Case, Identities

$$\mathbb{P}(Q_1) = \frac{\Gamma_{1,2} \times p_{\mathbf{W},\mathbf{S}}(1,2)}{p_{\mathbf{W}}(1)} = \frac{\Gamma_{2,1} \times p_{\mathbf{W},\mathbf{S}}(2,1)}{p_{\mathbf{W}}(2)} = \frac{\Gamma_{3,4} \times p_{\mathbf{W},\mathbf{S}}(3,4)}{p_{\mathbf{W}}(3)} = \frac{\Gamma_{4,3} \times p_{\mathbf{W},\mathbf{S}}(4,3)}{p_{\mathbf{W}}(4)} = \frac{\Gamma_{5,6} \times p_{\mathbf{W},\mathbf{S}}(5,6)}{p_{\mathbf{W}}(5)} = \frac{\Gamma_{6,5} \times p_{\mathbf{W},\mathbf{S}}(6,5)}{p_{\mathbf{W}}(6)}$$

$$\mathbb{P}(Q_2) = \frac{\Gamma_{1,2} \times p_{\mathbf{W},\mathbf{S}}(1,2)}{p_{\mathbf{W}}(1)} = \frac{\Gamma_{2,1} \times p_{\mathbf{W},\mathbf{S}}(2,1)}{p_{\mathbf{W}}(2)} = \frac{\Gamma_{3,6} \times p_{\mathbf{W},\mathbf{S}}(3,6)}{p_{\mathbf{W}}(3)} = \frac{\Gamma_{6,3} \times p_{\mathbf{W},\mathbf{S}}(6,3)}{p_{\mathbf{W}}(6)} = \frac{\Gamma_{5,4} \times p_{\mathbf{W},\mathbf{S}}(5,4)}{p_{\mathbf{W}}(5)} = \frac{\Gamma_{4,5} \times p_{\mathbf{W},\mathbf{S}}(4,5)}{p_{\mathbf{W}}(4)}$$

$$\mathbb{P}(Q_3) = \frac{\Gamma_{1,2} \times p_{\mathbf{W},\mathbf{S}}(1,2)}{p_{\mathbf{W}}(1)} = \frac{\Gamma_{2,1} \times p_{\mathbf{W},\mathbf{S}}(2,1)}{p_{\mathbf{W}}(2)} = \frac{\Gamma_{3,5} \times p_{\mathbf{W},\mathbf{S}}(3,5)}{p_{\mathbf{W}}(3)} = \frac{\Gamma_{5,3} \times p_{\mathbf{W},\mathbf{S}}(5,3)}{p_{\mathbf{W}}(5)} = \frac{\Gamma_{6,4} \times p_{\mathbf{W},\mathbf{S}}(6,4)}{p_{\mathbf{W}}(6)} = \frac{\Gamma_{4,6} \times p_{\mathbf{W},\mathbf{S}}(4,6)}{p_{\mathbf{W}}(4)}$$

:



$$\mathbb{P}(Q_{15}) = \frac{\Gamma_{1,6} \times p_{\mathbf{W},\mathbf{S}}(1,6)}{p_{\mathbf{W}}(1)} = \frac{\Gamma_{6,1} \times p_{\mathbf{W},\mathbf{S}}(6,1)}{p_{\mathbf{W}}(6)} = \frac{\Gamma_{2,4} \times p_{\mathbf{W},\mathbf{S}}(2,4)}{p_{\mathbf{W}}(2)} = \frac{\Gamma_{4,2} \times p_{\mathbf{W},\mathbf{S}}(4,2)}{p_{\mathbf{W}}(4)} = \frac{\Gamma_{5,3} \times p_{\mathbf{W},\mathbf{S}}(5,3)}{p_{\mathbf{W}}(5)} = \frac{\Gamma_{3,5} \times p_{\mathbf{W},\mathbf{S}}(3,5)}{p_{\mathbf{W}}(3)}$$

## The $M = 1, K = 6$ Case, Identities

$$\mathbb{P}(Q_1) = \frac{\Gamma_{1,2} \times p_{\mathbf{W},\mathbf{S}}(1,2)}{p_{\mathbf{W}}(1)} = \frac{\Gamma_{2,1} \times p_{\mathbf{W},\mathbf{S}}(2,1)}{p_{\mathbf{W}}(2)} = \frac{\Gamma_{3,4} \times p_{\mathbf{W},\mathbf{S}}(3,4)}{p_{\mathbf{W}}(3)} = \frac{\Gamma_{4,3} \times p_{\mathbf{W},\mathbf{S}}(4,3)}{p_{\mathbf{W}}(4)} = \frac{\Gamma_{5,6} \times p_{\mathbf{W},\mathbf{S}}(5,6)}{p_{\mathbf{W}}(5)} = \frac{\Gamma_{6,5} \times p_{\mathbf{W},\mathbf{S}}(6,5)}{p_{\mathbf{W}}(6)}$$

$$\mathbb{P}(Q_2) = \frac{\Gamma_{1,2} \times p_{\mathbf{W},\mathbf{S}}(1,2)}{p_{\mathbf{W}}(1)} = \frac{\Gamma_{2,1} \times p_{\mathbf{W},\mathbf{S}}(2,1)}{p_{\mathbf{W}}(2)} = \frac{\Gamma_{3,6} \times p_{\mathbf{W},\mathbf{S}}(3,6)}{p_{\mathbf{W}}(3)} = \frac{\Gamma_{6,3} \times p_{\mathbf{W},\mathbf{S}}(6,3)}{p_{\mathbf{W}}(6)} = \frac{\Gamma_{5,4} \times p_{\mathbf{W},\mathbf{S}}(5,4)}{p_{\mathbf{W}}(5)} = \frac{\Gamma_{4,5} \times p_{\mathbf{W},\mathbf{S}}(4,5)}{p_{\mathbf{W}}(4)}$$

$$\mathbb{P}(Q_3) = \frac{\boxed{\Gamma_{1,2} \times p_{\mathbf{W},\mathbf{S}}(1,2)}}{p_{\mathbf{W}}(1)} = \frac{\Gamma_{2,1} \times p_{\mathbf{W},\mathbf{S}}(2,1)}{p_{\mathbf{W}}(2)} = \frac{\Gamma_{3,5} \times p_{\mathbf{W},\mathbf{S}}(3,5)}{p_{\mathbf{W}}(3)} = \frac{\boxed{\Gamma_{5,3} \times p_{\mathbf{W},\mathbf{S}}(5,3)}}{p_{\mathbf{W}}(5)} = \frac{\Gamma_{6,4} \times p_{\mathbf{W},\mathbf{S}}(6,4)}{p_{\mathbf{W}}(6)} = \frac{\Gamma_{4,6} \times p_{\mathbf{W},\mathbf{S}}(4,6)}{p_{\mathbf{W}}(4)}$$

$Q_{15}$  {1,6}  
{2,4}  
{5,3}

$$\mathbb{P}(Q_{15}) = \frac{\boxed{\Gamma_{1,6} \times p_{\mathbf{W},\mathbf{S}}(1,6)}}{p_{\mathbf{W}}(1)} = \frac{\Gamma_{6,1} \times p_{\mathbf{W},\mathbf{S}}(6,1)}{p_{\mathbf{W}}(0)} = \frac{\Gamma_{4,2} \times p_{\mathbf{W},\mathbf{S}}(4,2)}{p_{\mathbf{W}}(4)} = \frac{\boxed{\Gamma_{5,3} \times p_{\mathbf{W},\mathbf{S}}(5,3)}}{p_{\mathbf{W}}(5)} = \frac{\Gamma_{3,5} \times p_{\mathbf{W},\mathbf{S}}(3,5)}{p_{\mathbf{W}}(3)}$$

## The $M = 1$ Case

Maximize

$$\left( \sum_{i,j \in \mathcal{K} \times \mathcal{K}, i \neq j} p_{\mathbf{W}, \mathbf{S}}(i, j) \times \left[ \underbrace{\Gamma_{i,j} \left( \frac{K}{M+1} \right)}_{\text{1/rate of Partition-and-Code Scheme}} + \underbrace{(1 - \Gamma_{i,j})(K - M)}_{\text{1/rate of MDS}} \right] \right)^{-1}$$

s.t.

$$\mathbb{P}(\mathbf{W} = i \mid \mathbf{Q} = \mathbf{Q}) = \mathbb{P}(\mathbf{W} = i) \quad \forall i \in \mathcal{K}.$$

## The $M = 1$ Case

$$\left( K - M - \left( K - M - \frac{K}{M+1} \right) \times \Gamma_{1,2} \frac{p_{\mathbf{W},\mathbf{S}}(\{1\}, \{2\})}{p_{\mathbf{W}}(\{1\})} \binom{K-1}{M} \right)^{-1}$$

$$\Gamma_{1,2} = \min_{i \in [K-1:K]} \left\{ 1, \frac{p_{\mathbf{W},\mathbf{S}}(\{i\}, [K-1 : K] \setminus \{i\}) p_{\mathbf{W}}(\{1\})}{p_{\mathbf{W},\mathbf{S}}(\{1\}, \{2\}) p_{\mathbf{W}}(\{i\})} \right\}$$

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**We generalize this technique to  $M, K$  satisfying the divisibility condition, thus obtaining the lower bound on the capacity.**

$$R_{\text{LB}} = \left( K - M - \left( K - M - \frac{K}{M+1} \right) \times \Gamma_{\{1\},[2:M+1]} \frac{p_{\mathbf{W},\mathbf{S}}(\{1\}, [2 : M+1])}{p_{\mathbf{W}}(\{1\})} \binom{K-1}{M} \right)^{-1}$$

$$\Gamma_{\{1\},[2:M+1]} = \min_{i \in [K-M:K]} \left\{ 1, \frac{p_{\mathbf{W},\mathbf{S}}(\{i\}, [K-M : K] \setminus \{i\}) p_{\mathbf{W}}(\{1\})}{p_{\mathbf{W},\mathbf{S}}(\{1\}, [2 : M+1]) p_{\mathbf{W}}(\{i\})} \right\}$$

## Capacity of PA-PIR-SI

**Theorem 1.** For PA-PIR-SI with  $K$  messages and  $M$  side info. messages such that  $M + 1$  is a divisor of  $K$  and strictly less than  $\sqrt{K}$ , under any popularity profile  $\Lambda$ , the capacity is upper bounded by

$$R_{\text{UB}} = \frac{M+1}{K}$$

and is lower bounded by

$$R_{\text{LB}} = \left( K - M - \left( K - M - \frac{K}{M+1} \right) \times \Gamma_{\{1\}, [2:M+1]} \frac{p_{\mathbf{W},\mathbf{S}}(\{1\}, [2 : M+1])}{p_{\mathbf{W}}(\{1\})} \binom{K-1}{M} \right)^{-1}$$

where

$$\Gamma_{\{1\}, [2:M+1]} = \min_{i \in [K-M:K]} \left\{ 1, \frac{p_{\mathbf{W},\mathbf{S}}(\{i\}, [K-M : K] \setminus \{i\}) p_{\mathbf{W}}(\{1\})}{p_{\mathbf{W},\mathbf{S}}(\{1\}, [2 : M+1]) p_{\mathbf{W}}(\{i\})} \right\}$$

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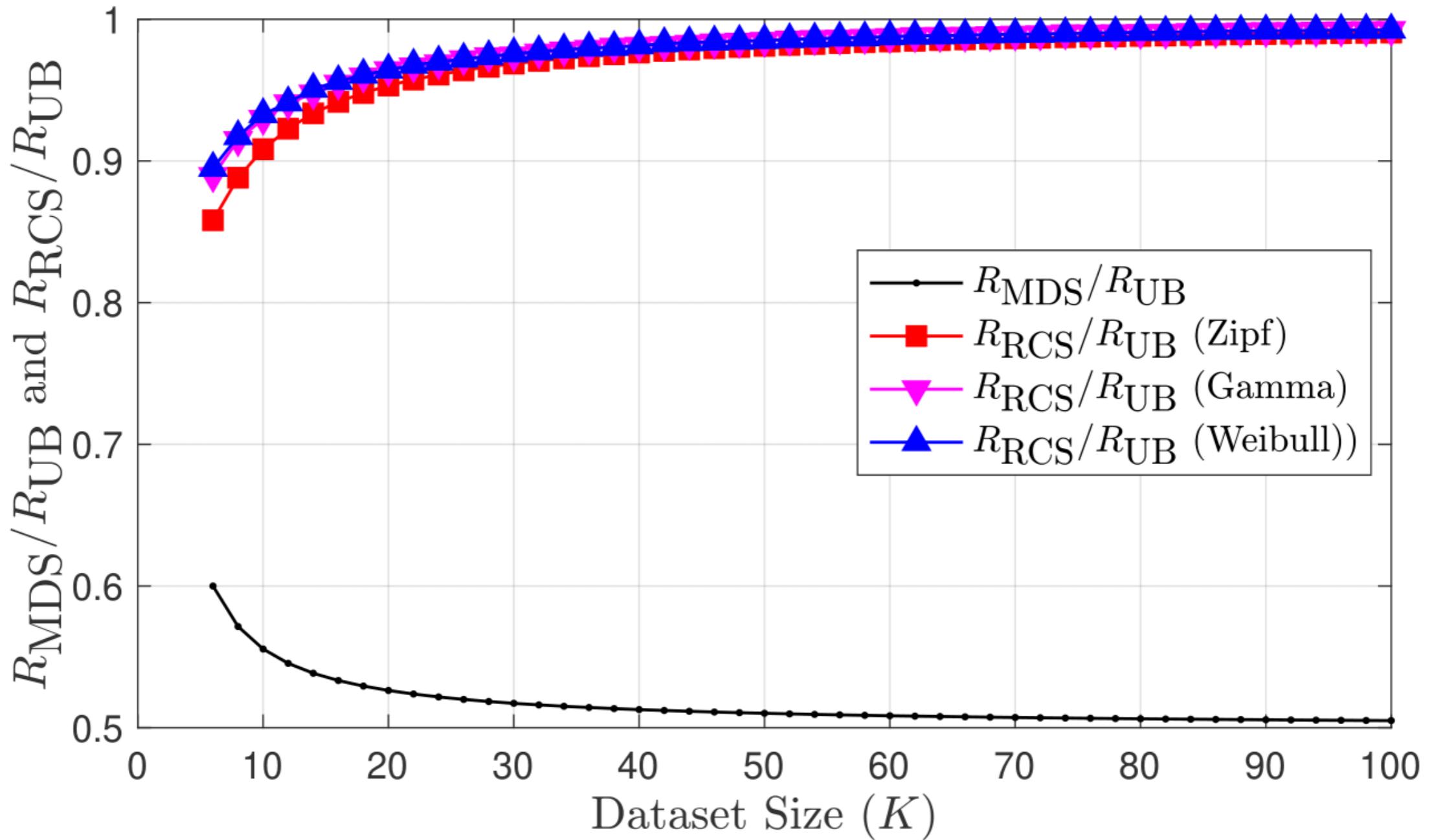
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⇒ Rate upper bound is  $\frac{B}{[K/(M+1)]B} = \frac{M+1}{K}$

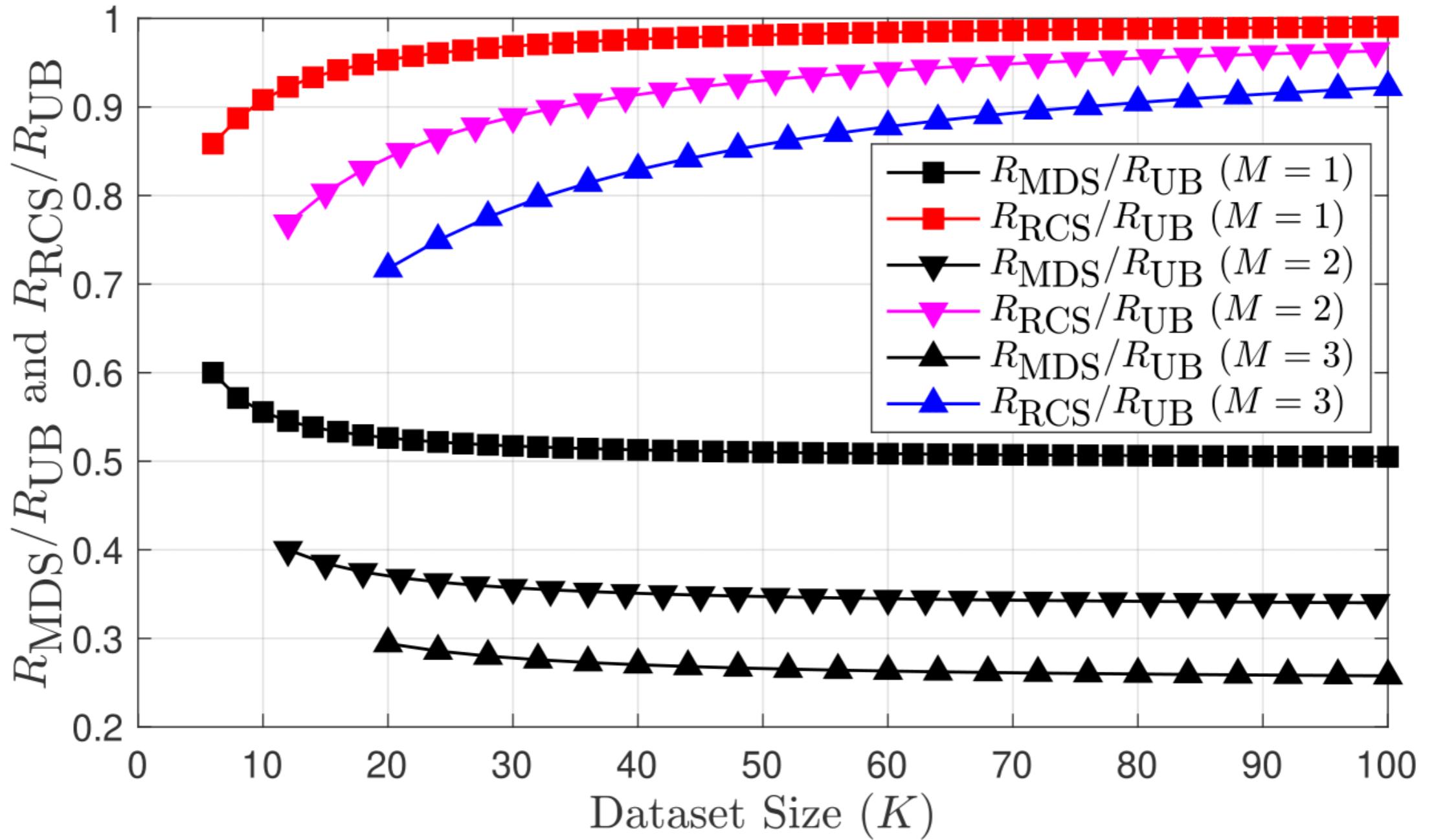
# Outline

- Model + Assumptions
- A Motivating Example
- Main Results
- Simulations
- Summary and Open Problems

$\frac{R_{\text{RCS}}}{R_{UB}}$  and  $\frac{R_{\text{MDS}}}{R_{UB}}$  vs.  $K$ , for  $M = 1$  and different models for the popularity profile.



$\frac{R_{\text{RCS}}}{R_{UB}}$  and  $\frac{R_{\text{MDS}}}{R_{UB}}$  vs.  $K$ , for different  $M$  and the Zipf model for the popularity profile.



# Outline

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## In This Work:

- Introduced the PA-PIR-SI problem—a popularity-aware generalization of PIR-SI
- Studied the pitfalls of the existing PIR-SI schemes in the case of non-uniform popularities
- Derived bounds on the capacity of the PA-PIR-SI problem
  - Upper Bound: Using information-theoretic arguments.
  - Lower Bound: New achievability scheme (Randomized Code Selection).

## Open Problems

- Capacity of multi-server PA-PIR-SI?
- Capacity of multi-message PA-PIR-SI?
- Capacity of multi-user PA-PIR-SI?
- Information leakage due to inaccurate statistics (e.g. noisy popularity profile)?